

Ithaca Area Wastewater Facility Treatment
Engineering Management Project Team
as part of the CEE 5910 Engineering Management Project Course

A Feasibility Study of Energy Production

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Advisor Introduction

This report summarizes the findings of a one-semester project analyzing the proposed expansion of energy conversion of biological waste materials (food scraps, food industry by-products, sewage, septage, and the like) at the Ithaca Area Wastewater Treatment Facility, or IAWWTF. The research in the project was carried out by a team of Master of Engineering students from the Engineering Management, Environmental Engineering, and Transportation Engineering programs.

As advisor, it has been my responsibility to create the foundation for the launch of the project, mostly during the summer of 2014, by working with partners who also have an interest in it. Dan Ramer, Jose Lozano, and Jim Goodreau at the IAWWTF plant have been interested for some time in the subject of increasing the self-reliance of the plant for electricity, and provided much of the initial information. Kushan Dave, student of City and Regional Planning, and his advisor George Frantz, also provided an input into the project with their planning concept for the development of the area around the plant, as well as some of the initial figures for the dimensions of the development. Finally, the Energy group of IAWWTF (a committee of interested individuals from outside the plant staff), including members Wade Wykstra, Tom Hanna, Anna Kelles, and John Bozack, as well as Bruce Abbott and John Graves with whom we previously worked on the Emerson Plant repurposing project, provided input into the project. This project also benefitted from information gathered from several previous M.Eng. projects advised by me and focused on local issues, including TCAT fleet operations, repurposing of the AES Cayuga power plant, Ithaca energy-efficient neighborhoods, Black Oak wind farm, and the aforementioned Emerson plant. Interested readers may wish to download project reports similar to this one at www.lightlink.com/francis/.

One of the challenges with the project format is that the students must carry out a project that they did not design within the space of a single semester. Not only must they create from the framework that I provide a coherent scope of work, but they must also self-organize the team and execute the project during the course of the semester. No previous background in wastewater treatment systems is required to join the team, so students joining have varying degrees of familiarity with the technologies and systems. They must therefore dedicate a substantial fraction of the time in the project researching the state of the technology, especially near the beginning. As advisor, I can report that the team successfully overcame these challenges and met their research objectives, and I am pleased share the results of their work with a wider audience.

In closing, I wish to thank all of the above individuals for their input into the project. While this support is gratefully acknowledged, the findings and opinions in this report do not represent official positions of the IAWWTF or Cornell University, and responsibility for any and all errors rests with myself as advisor and with the team.

Respectfully submitted,



Francis M Vanek, PhD

Senior Lecturer and Research Associate

December 18, 2014

Executive Summary

This report presents the opportunity for the Ithaca Area Wastewater Treatment Facility (IAWWTF) to maximize its revenue by utilizing the potential of existing Combined Heat and Power (CHP) turbines and exploring other energy sources and their possible implementations. The report also analyzes four different scenarios to maximize revenues for the plant by increasing the capacity of CHP turbines and adding other alternative sources of energy, such as biodiesel, solar photovoltaic and hydro-turbines. This feasibility study is intended for the use of the IAWWTF and professionals interested in producing electricity and heat in a more environmentally friendly way. We hope that our study will help the IAWWTF and the Ithaca communities to reduce their CO₂ footprints.

CHP is a reliable, cost effective option for the IAWWTF to meet their current energy demand of 334,200 kWh per month using the two existing bio-digesters. The IAWWTF currently has the capacity to produce approximately 120,000 to 150,000 cubic feet of biogas per day, which is used as a fuel for the four CHP turbines, each with 65 kilowatts (kW) of capacity, to produce 200,520 kWh of electricity per month. The thermal energy produced by the CHP system is then used to heat the bio-digesters, which need to be maintained at a temperature of 98°F for 28 days to produce methane.

Every one million gallons of wastewater flow per day can produce enough biogas in an anaerobic digester to support 26 kW of electric capacity and 2.4 million Btu per day (MMBtu/day) of thermal energy in a CHP system¹.

The IAWWTF has been exploring various means of producing electricity, and as part of the initiative, they have already implemented a 7.5 kW solar system inside their facility. This array is capable of producing 9,210 kWh of electricity per year.

Additional electricity produced by the CHP turbines can be used to power the plant and make the plant self-reliant. By doing this, the plant avoids a cost of 10.5 cents per kWh, which is currently the cost paid to utility companies.

Maximizing the intake of waste can help produce additional kW of electricity, which can be sold to the new proposed development at 14 cents per kWh.

The IAWWTF currently receives an average of four percent grease as part of its trucked waste. The cost of producing bio-diesel is considered to be \$3.11 per gallon, but it can be sold to the nearby TCAT facility at a price of \$3.50 per gallon. Alternatively, if 80-gallon biodiesel

¹ *Opportunities for Combined Heat and Power at Wastewater Treatment Facilities: Market Analysis and Lessons from the Field* (n.d.): n. pag. Environmental Protection Agency. Combined Heat and Power Partnership, Oct. 2011. Web. 7 Oct. 2014.
<http://www.epa.gov/chp/documents/wwtf_opportunities.pdf>.

processors can be used, the price per gallon for production could be reduced to \$2.18 per gallon, using available capital and operating cost figures, making biodiesel more economically attractive.

Introduction

Mission Statement

The Engineering Management Project Team works to determine how much energy, whether biogas or alternative, the Ithaca Area Wastewater Treatment Facility (IAWWTF) can produce and at what price, and explore possible uses for this energy. We have estimated the energy consumption of the proposed Inlet Energy Improvement District and analyzed the needs of the community compared to the output capabilities of the IAWWTF. We have also taken into consideration the implementation cost and revenue gained from each of the scenarios considered.

Project Motivation

As graduate students in engineering we gave back to the Ithaca community that has educated us over the past four years. We gained the experience of working within an interdisciplinary team to face the challenges of a large engineering project. We were interested in learning about wastewater treatment and creating an optimal system that allows the IAWWTF to not only have increased revenues but also decrease greenhouse emissions. By focusing on an economic analysis of Kushan Dave's original proposal, we aimed to prove or disprove its feasibility.

Sustainable energy is an up and coming field with a great deal of applications. As a result of the negative effects of pollution on climate change, finding sustainable solutions has become increasingly important. Waste management systems that can convert harmful or discarded materials into useful forms of energy are just one way that we can make a positive impact on society. We want to help create a reliable energy source in Ithaca by utilizing a variety of waste streams that would have otherwise ended up in a landfill. Not only does this plan decrease the amount of waste sent to landfills, within which waste cannot decompose quickly, but it can produce usable energy for the community, decreasing dependency on non-renewable energy sources.

Project Goals

Firstly, our team analyzes the output potential of the IAWWTF in terms of biogas capacity, hydro-turbine feasibility and significant solar energy production increases. We also analyze the needs proposed by the Ithaca Energy Initiative Development using Ecovillage data as a baseline for calculations. Using both of these analyses, our team proposes useful possibilities, such as selling biogas to TCAT buses, selling electricity back to the grid or reselling repurposed enriched waste.

Team Members



Nitesh Donti

Undergraduate Education: Cornell University, B.S. Computer Science

Graduate Education: Cornell University, M.Eng. Engineering Management

My background is in computer science and business. I have extensive internship experience in software engineering within a variety of industries. In addition, I have significant business development and entrepreneurship experience within the education space.



Jacqueline Maloney

Undergraduate Education: Cornell University, B.S. Civil Engineering

Graduate Education: Cornell University, M.Eng. Engineering Management

My background is in structural engineering and project management. Two internships in construction management and extensive Concrete Canoe project team experience have given me many relevant management and interpersonal skills.



Ruju Mehta

Undergraduate Education: Cornell University, B.S. Environmental Engineering

Graduate Education: Cornell University, M.Eng. Engineering Management

My background is in civil and environmental engineering. Through different internships in the energy utility and engineering management/consulting sectors, I have gained experience in CAD drafting, water systems, and enhancing client interactions.



Yilin Wang

Undergraduate Education: B.S. Civil Engineering, University of Washington

Graduate Education: Cornell University, M.Eng. Transportation Engineering

My background is civil engineering, with a concentration in transportation. Internships and student organization experience have strengthened my data analysis, system optimization, and communication skills.



Rob Ainslie

Undergraduate Education: Cornell University, B.S. Environmental Engineering

Graduate Education: Cornell University, M.Eng. Environmental Engineering

My background is in environmental engineering and business management. Through my coursework and work experience I have developed a unique combination of technical and analytical skills that allows me to effectively and creatively solve problems.



Yeswanth Subramanian

Undergraduate Education: Anna University, Chennai, India. B.E. Electronics and Communication

Humber College, Toronto. Postgraduate Diploma in Wireless Telecommunication.

Graduate Education: Cornell University, M.Eng. Engineering Management

My background is in telecommunications and program management. I am a professionally qualified engineer and program manager with seven years of experience in delivering complex telecommunication projects within the oil, gas and utility sectors and for major telecommunication operators.

Assignment of Team Members to Topic Areas of Project

We have divided the project into three distinct parts, each of which is detailed below along with according team member assignments. Since each of the teams is dependent upon the others, there was significantly more overlap among groups than is outlined here. Continuous communication and feedback were expected to be shared among all three groups throughout the duration of the project, especially after all options had been considered and analyses had been conducted. Final recommendations have been based upon input from all team members.

1. Optimization and Modeling: Nitesh and Jacqueline
Using the data and information provided by the IAWWTF and Prof. Vanek and gathered from the research team, this group is responsible for creating the analysis tool. This includes synthesizing all of the information and developing a tool that is easy to manipulate.
2. Environmental Analysis: Ruju and Rob
This group provides a feasibility study on the sources that can be used in the plant. This includes an optimization on energy creation and profits. Any options that poorly use the resources can be eliminated along with solutions that do not yield adequate benefit or profit. A simple economic analysis will be considered here to determine the most profitable options, assuming there is demand in the market for sellable energy.
3. Research and Recommendations: Yilin and Yeswanth
This final group will make suggestions about the types of energy that should be used and for what purposes. Taking into account cost, environmental impact and feasibility, this team explores the possibilities encapsulated in each scenario and analyzes energy and other byproduct production. A harder look at the economic analysis will be considered here to determine whether it is in fact feasible to sell energy products produced to external users.

List of Project Assumptions

In order to make progress, we made numerous assumptions about the project. To begin, we assumed that there are no social and political barriers to our efforts in this energy initiative. We assume that we can get all of the necessary permits and approvals from the government and will not face any opposition from the Ithaca community.

Also, we assume that the smell and noise of the plant do not deter residential construction, and that any smell or noise produced from waste processing or energy production processes is within a tolerable range. However, it would be the responsibility of any residential or commercial developer to make sure that this requirement is met, since failure to meet it could jeopardize the viability of the whole project.

We assume that the entire redevelopment project from Kushan Dave's plan is completed, or if not, we assume that the resulting electricity demand is proportional to the fraction completed. We assume that the grid will include the demand from the proposed redevelopment project so that a microgrid can be established. We also assume that the redevelopment project will be finished (as opposed to partial completion), so that we can establish an approximate demand.

Capital investments assume an investment lifetime of 20 years, and a discount rate of 7%. The discount rate is a standard U.S. government figure.

Finally we assume that whatever energy we create at the facility can be easily and readily transmitted to its final location. We assume that transportation infrastructure changes (for transporting steam, hot water, electricity, etc.) are feasible and at a negligible cost.

List of Project Boundaries and Project Scope

Inside Scope:

Our project scope encapsulates the maximization of energy production to increased demand, feasibility of meeting increased demand of microgrid conditions, and proposing areas that the IAWWTF can improve to increase its profit. Renewable energy sources that we consider are solar, biogas, micro-turbines and hydro-turbines.

Outside Scope:

Any renewable energy production method not listed in the scope above is considered to be outside the scope. A detailed operational exploration of the technologies (biogas, solar, hydro-turbine, etc.) suggested from an engineering perspective will not be performed. In conducting a feasibility study, understanding and assessing the big picture implementation, rather than operation, is more beneficial for the facility itself. We will not explore implementation of energy or fuel distribution systems, microgrid details, or plans to store energy. We are not responsible for identifying additional sources of waste to meet increased waste demand of certain scenarios or potential buyers of the enriched dry biocakes. Carbon dioxide emissions are also outside the scope of this project.

Literature Review

Waste-to-Energy Systems

All objects, no matter the form, contain energy. Waste-to-energy systems reconstruct the energy in trash into usable forms while consolidating trash in the process. However, waste-to-energy processes have the potential to release toxins into the ambient environment. For example, incineration is a common form of converting waste-to-energy through heat production but it also produces airborne pollutants and unfavorable odors. Environmental Protection Agency (EPA) regulations make it nearly impossible to burn trash in the open.

A number of technological advancements have been made in order to produce energy from our trash. A Tactical Garbage to Energy Refinery (TGER) has been successfully implemented in military applications to power a 60-kilowatt generator. It converts waste into fuel pellets, which are then gasified and turned into synthetic gas resembling propane. Organic waste (liquids and food) is processed into a hydrous ethanol. The synthetic gas and hydrous ethanol are then combined to produce fuel. TGER systems do not process glass, metals or hazardous waste.

Pyrolytic gasification is another form of energy production from waste. In this process, waste is cooked in batches under indirect heat and low oxygen. The final product of this process is an inert ash that can be used in building materials. This ash comprises 20% of the previous volume of waste. The process produces 80% of the energy it uses. In other words, the process does not produce excess energy; rather, it uses the energy it produces so that it can degrade waste safely with little energy used.

Many of these systems have been converted into modular units for military use. The systems usually are comprised of solid waste management, water purification and power generation systems.²

Small Scale Waste-to-Energy Applications

Heat is a product of most waste to energy plants, but it is often underutilized because of great distance between the plant and the consumer. This heat can be sold at steam or as part of a combined heat and power system. According to *Small Scale Community Plants Way Forward for Waste Gasification*, facilities that gain full utilization of heat potential can increase their efficiency up to 85%. Smaller plants also minimize traffic and can be

² Wingfield, Rebecca C. "Waste-to-Energy Systems." *ProQuest*. Superintendent of Documents, United States Army, Jan.-Feb. 2009. Web. 8 Oct. 2014. <<http://search.proquest.com/docview/196441952?accountid=10267>>.

combined with other facilities (such as recycling and wastewater treatment) to decrease energy costs and carbon emissions for the local community. They also reduce the amount of heat energy lost in the process.³

The article *Review of Small Scale Waste to Energy Conversion Systems* takes a very close look at several international implementations of small scale Waste to Energy systems.⁴

Possible Fuel from Chicken Feathers

This article opens up the doors to possible fuel sources that are currently underutilized. Chicken feathers, currently used as an additive in low-grade animal foods, can be converted into usable energy. Feather meal contains 12% fat, which can be easily converted into biofuel. The 11 billion pounds of chicken waste can be converted into 153 million gallons of biodiesel each year. While that may not even come close to covering the diesel demand in the US (According to Vanek et al (2014, p.479) in the year 2000 passenger cars consumed 73 billion gallons of motor fuel and light trucks including pickups, vans, and SUVs consumed another 53 billion gallons), it does make scientists and environmentalists think about what alternate sources of fuel exist.

Extracting fat from the feathers not only provides an alternate source of energy, but increases the quality of the animal feed and provides a better nitrogen source in fertilizers. Additionally, there are also applications for feathers to be used in fuel storage systems.⁵

Solar Energy and Regulations in Ithaca

What is Solar Power?

Solar power is the conversion of sunlight into electricity and the mechanism in which the energy is obtained is classified into two types:

- Concentrated solar power (focus a large area of sunlight into narrow beam using mirrors)
- Photovoltaic (converts light into electricity)

³ "Small Scale Community Plants Way Forward for Waste Gasification." *Waste Management World*. N.p., 25 June 2012. Web. 04 Oct. 2014. <<http://www.waste-management-world.com/articles/2012/06/small-scale-community-plants-way-forward-for-waste-gasification.html>>.

⁴ Stein, Wes, and Lasse Tobiasen. "Review of Small Scale Waste to Energy Conversion Systems." *IEA Bioenergy Agreement - Task 36* (2004): n. pag. *IEA Bioenergy*. 2004. Web. 5 Oct. 2014. <http://www.ieabioenergytask36.org/Publications/2001-2003/Publications/Review_of_Small_Scale_Waste_Conversion_Systems.pdf>.

⁵ "Fuel from Chicken Feathers." *Alternative Energy News*. Biodiesel, Biofuels, Waste Energy, 22 Mar. 2010. Web. 04 Oct. 2014. <<http://www.alternative-energy-news.info/fuel-from-chicken-feathers/#.VCg55MmbALs.gmail>>.

Solar or Photovoltaic panels produce DC current, which fluctuates with the sunlight's intensity. For commercial applications, DC is converted into AC current using inverters.

Types of Solar panels and their Merits/Demerits:

1. Mono Crystalline

These type of panels are made from silicon ingots which are cut into cylindrical shapes to be embedded into panels

Advantages

- Highest efficiency as they are made out of high-grade silicon
- 15 to 20% efficiency. Sun power has produced X series with 21.5% efficiency
- Requires less space, and produces four times yield as thin films
- Usually manufacturers provide 25 years warranty and have longest lifetime
- Tend to perform better even in low-light conditions

Disadvantages

- Expensive
- If the solar panel is partially covered with shade, dirt or snow, the entire circuit can break down
- Tend to be more efficient in warm weather

2. Poly Crystalline

Melting raw silicon and pouring it into a square mold, which is cooled and cut into perfectly square wafers, manufacture these types of panels.

Advantages

- Process used to make polycrystalline silicon is simpler and cost less
- Tend to have slightly lower heat tolerance than monocrystalline solar panels
- They perform slightly worse than monocrystalline solar panels in high temperatures

Disadvantages

- Efficiency of polycrystalline-based solar panels is typically 13-16%
- Generally need to cover a larger surface to output the same electrical power as monocrystalline silicon

3. Thinfilm Solar Panels

The panels get their name from the one or several thin layers of photovoltaic material, which are deposited onto a substrate. These panels are known for their importance in harsh environments, where they are susceptible to dust and snow.

Advantages

- Various types including Amorphous silicon (a-Si), Cadmium telluride (CdTe), Copper indium gallium selenite (CIS/CIGS), Organic photovoltaic cells (OPC)

- Mass-production is simple and can be made flexible
- High temperatures and shading have less impact on solar panel performance

Disadvantages

- Low space-efficiency and generally not very useful for residential applications
- Thin-film solar panels tend to degrade faster than mono- and polycrystalline solar panels
- Efficiencies between 7–13%

Drawbacks of Investing in Solar Technology

Solar is considered to be one of the key technologies for energy production in the future, but the major limitation is the capital expenditure involved with the deployment. The below chart⁶ provides a comparison of the levelized cost of various renewable and other potential technologies:

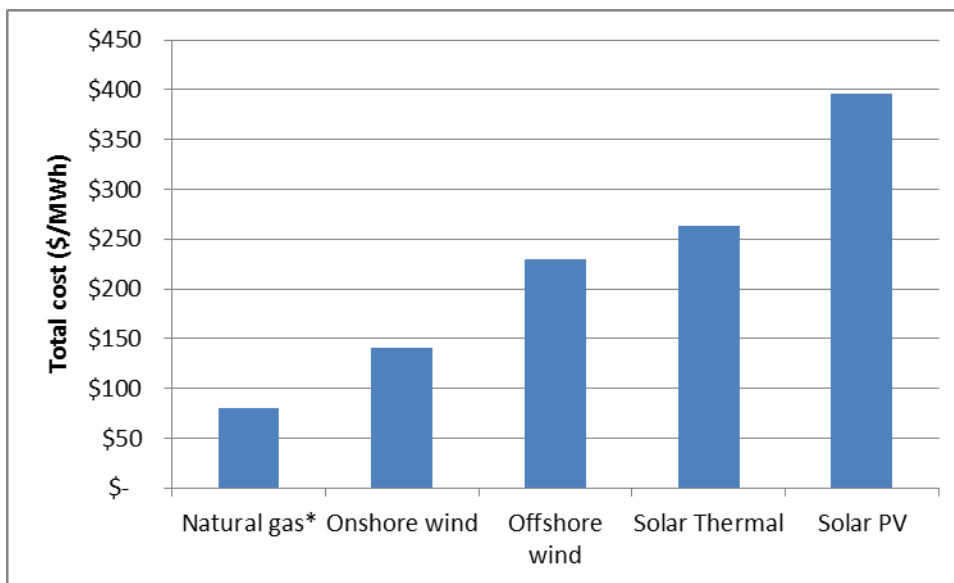


Figure 1: Total Cost per Renewable Energy

⁶ Barton, Charles. "IER: Total 2016 Nuclear Levelized Costs Lower." Nuclear Green Blogspot, 22 June 2009. Web. 10 Oct. 2014. <<http://nucleargreen.blogspot.com/2009/06/ia-total-2016-nuclear-levelized-costs.html>>.

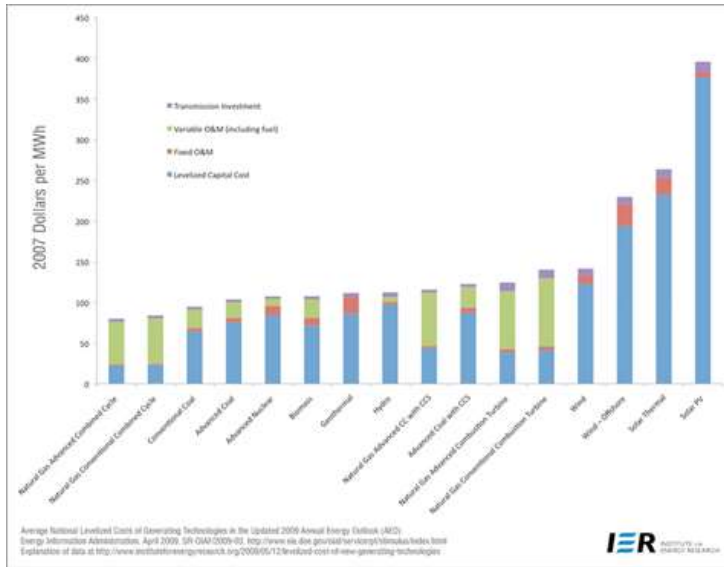


Figure 2: Estimated Levelized Costs of New Electricity Generation Technologies in 2016, including both full figure with 16 options and highlight with five options. *Note that natural gas price assumes combined cycle generation.

But the major variation in the cost can be accounted to the nature of production of energy. Wind and Solar require no operator intervention but they require additional equipment to store energy, as the production capacity varies with the amount of sunshine and wind availability. The table below provides the cost of producing energy from various sources, which can be compared against solar. As mentioned earlier, direct comparison of the cost is not possible but comparison based on the annual capacity factor for each technology may provide a better overview.

Table 1: Cost of Producing Energy from Various Sources

Plant Type	Capacity Factor (%)	Levelized Capital Cost	Fixed O&M	Variable O&M (including fuel)	Transmission Investment	Total System Levelized Cost
Advanced Nuclear	90	84.2	11.4	8.7	3	107.3
Wind	35.1	122.7	10.3	0	8.5	141.5
Wind-Offshore	33.4	193.6	27.5	0	8.6	229.6
Solar PV	21.7	376.6	6.2	0	12.9	395.7
Solar Thermal	31.2	232.1	21.3	0	10.3	263.7
Geothermal	90	86	20.7	0	4.8	111.5
Biomass	83	71.7	8.9	23	3.9	107.4
Hydro	52	97.2	3.3	6.1	5.6	114.1

The chart concludes that nuclear is best source of energy and the returns are much higher. But for the purpose of study and this document, we are limiting our discussion to Solar, Wind and Biomass. The energy options we are advising for Ithaca wastewater treatment plant is to maximize the intake of waste, increase existing solar capacity and introduce hydro turbines.

Recently Established Snyder Road Solar Farm in Tompkins County

Snyder Road Solar Farm is Cornell University's first large-scale solar energy project. This solar farm went live Friday, September 19th. The farm consists of a two-megawatt array of solar panels sprawled across 11 acres of Cornell property in the town of Lansing (near the Ithaca Airport). The 8,000+ panel solar system will produce about one percent of Cornell's electricity and reduce university carbon emissions by 0.5 percent.

There are restrictions regarding how large an organization can build a solar energy project that uses remote net metering. The solar farm will thus produce the maximum amount of electricity allowed by the Public Service Commission. Remote net metering is a monitoring system — measuring the inputs and outputs of a solar energy source — that enables Cornell to build the solar farm several miles off campus and get credit for electricity production against electricity it purchases from the grid. Thus, the limitations here include the small amount of energy one location can produce and that Cornell can only collect credit from one renewable energy project at a time.

Cornell's solar efforts here reduce our reliance on fossil fuels and will set a high example for other local governments in the state.⁷ Additionally, a 2.4 megawatt solar array, spread over 10 acres, is planned on Tompkins County-owned land near the airport. This solar power system is expected to produce enough power to supply the City of Ithaca government with a third of its annual energy demand. The project is funded through a \$100 million state grant as New York quickly works to become a national leader in the space.⁸

⁷ Ferguson, Zoe. "Cornell Flips the Switch at Snyder Road Solar Farm." *The Cornell Daily Sun*. N.p., 23 Sept. 2014. Web. 05 Oct. 2014. <<http://cornellsun.com/blog/2014/09/23/cornell-flips-the-switch-at-snyder-road-solar-farm/>>.

⁸ Casier, Andrew. "Tompkins, Ithaca Plan 2.4MW Solar Array." *Ithaca Journal*. Gannett Company, 26 Sept. 2014. Web. 04 Oct. 2014. <<http://www.ithacajournal.com/story/news/local/2014/09/26/tompkins-ithaca-plan-mw-solar-array/16285521/>>.

Alternative Energy Sources

The energy potential contained in the wastewater side is not fully known but research has proved that it has 10 times more energy⁹ contained in it than the energy expended to treat it. Some wastewater treatment facilities are 100% energy neutral, where they are able to produce the complete amount of energy required to treat the waste and reduce their dependency on the national grid.

Drinking water and wastewater systems account for approximately 3 percent of energy use in the United States; however, for municipal governments, drinking water and wastewater plants are typically the largest energy consumers, accounting for 30 percent of total energy consumed. The main challenge is to make wastewater treatment plants to be energy neutral, and thus able to operate solely on the energy embedded in the water and wastes they treat.

Hybrid system – Solar PV and Wind Turbine

Photovoltaic is a great source of energy for countries with year round of sunshine. But in United States, wind speeds are low in the summer when the sunshine is brightest and strongest. A small "hybrid" electric system that combines home wind electric and home solar electric (photovoltaic or PV) technologies offers several advantages over either single system.

This source of power will be much lower when compared to commercial wind turbines or solar farms installed at large scale. One of the strongest benefits of this solution is their complete autonomy from the grid, as they generate energy at different intervals and during different seasons.

This technology has so far been tested only in remote residence, where the cost of extending grid power would cost anywhere between \$15,000 to \$50,000 US dollars. But, these installations have the capability to produce sufficient electricity to power lightings and other small appliances in residence and commercial units. This can reduce the load of residence on the electricity generated from Wastewater treatment and can help the plant become energy neutral.

One of the main constraints of the Hybrid system is their battery life, but this can be managed by using "deep-cycle" (generally lead-acid) batteries, which has a lifetime of 5 to 10 years and reclaim about 80% of the energy channeled into them. In addition, these

⁹ Scott, Leelon, "Capturing Energy In Waste Treatment Plants." WaterWorld. Web Aug.2011 <<http://www.waterworld.com/articles/print/volume-28/issue-9/departments/wwema/capturing-energy-in-wastewater-treatment-plants.html>>

batteries are designed to provide electricity over long periods, and can repeatedly charge and discharge up to 80% of their capacity.

Low-head Turbines

The main objective of looking at Alternate Energy sources is to make the plants energy neutral. One of the other technologies, which have the potential to increase the electricity production of wastewater plants, is by using low-head turbines. Even though, the installation is considered to have high capital expenditure, it reduces the operational expense and other overheads, such as buying electricity from the grid. Some of the other plants with low-head turbines were able to increase their energy production by 15% and able to supply 1.35 megawatts of electricity to the grid.

This increase in production capacity has made the low-head turbines an ideal choice as an alternate energy source. There are two types of turbines, impulsive and reaction type and both has its own advantages. Impulsive type system requires jet propulsion of water stream, which requires additional energy to produce, that flows and pressure. So, reaction type turbines have been an ideal choice for wastewater plants, which makes use of the natural water flow and eliminates the need for energy source to increase the water speed. Reaction type turbines have been installed and tested at various locations such as Point Loma, San Diego and Deer Island, Massachusetts.

Many models of low-head turbines are available in market today and it is chosen based on two main criteria; the flow rate (f) and the head height (h). Low-head turbines can operate through range of flow rates, but the size of that range varies with turbine type IAWWTF approximately process 6 million gallons of sewage every day and the volume increases at time of rainfall and snow melt to approximately 30-35 million gallons a day¹⁰.

The power produced by a low-head turbine can be calculated using the below formula,

$$\text{Power (kW)} = H * F * \text{efficiency} / 11.8^{11}$$

Where H is the head in feet and F is the flow in cubic feet per second (cfs), efficiency is the overall system efficiency as a fraction and 11.8 is the constant that converts the equation to kilowatts.

¹⁰ "Wastewater Treatment." *City of Ithaca NY*. N.p., n.d. Web. 4 Oct. 2014.

<<http://www.cityofithaca.org/331/Wastewater-Treatment>>.

¹¹ Environmental Protection Agency. "Renewable Energy Fact Sheet: Low-Head Hydropower from Wastewater" Web, Aug 2013. <<http://water.epa.gov/scitech/wastetech/upload/Low-Head-Hydropower-from-Wastewater.pdf>>.

In wastewater treatment plants, treated effluent is diverted through one or more turbine-generator units before flowing into the receiving stream. Treated effluent can also flow through a shunted section of the outfall pipeline to bypass during shutdown. Generated electricity is diverted to wastewater treatment through an independent transmission line. Ithaca Wastewater treatment (IAWWTF) plant has the potential for installing low-head turbines, where we can make use of the 4 feet head height and water flow, before the potable water exits the facility to the lake.

Some of the different models of Low-head turbines and their characteristics along with cost is given in the below table:

Table 2: Comparison between Different Low-head Turbines

Manufacturer	Model	Type	Flow rate (cfs)	Head (feet)	Electricity generation (kW)	Cost (\$)
Energy systems and design	LH 1000	Small propeller type	2	10	1	3000
Power pal	MHG 1000LH	Small propeller type	5	5	1	4000
Canyon	Kaplan turbine	Varying head and flow	100-400	3-50	(-)	30-500 K
Toshiba International	Hydro eKIDs	Propeller type	(-)	(-)	5-200	7-30 K
VLH turbine	Site specific	Site specific	0.16–0.48 M	4.2–10.5	100-500	575 K – 1.1 M

Transportation Fuel Technologies

Natural Gas and Bio-SNG

Bio-SNG, the abbreviation of synthetic natural gas, is produced by gasification of cellulosic materials, such as forestry residues, crops, etc.; whereas natural gas is a fossil fuel formed when layers of plants, gases, and animals are exposed to intense heat and pressure over thousands of years. The natural gas and bio-SNG we used can be a clean

burning transportation fuel when compressed or liquefied. Its chemical formula is CH₄.¹² The current infrastructure for natural gas and bio-SNG is more developed than before, but still less developed than it is for transportation fuels. Using natural gas as transportation fuel has many limitations under current technology. Natural gas is usually placed in the pressurized tanks; even compressed to 2400-2600 psi, the energy released is only one third of energy released by same amount of gasoline. There are about 770 natural gas refueling stations nationwide located in large cities and major highways. However, natural gas vehicles are well suited to business and public agencies that have their own refueling stations. CNG vehicles emit 85%-90% less CO, 10%-20% less CO₂ and 90% fewer reactive non-methane hydrocarbons than gasoline-powered vehicles, which is a very environmental-friendly characteristic for natural gas and bio-SNG.¹³

Hydrogen Fuel Cells

The spaceship use hydrogen as fuel, so in the future, hydrogen may play an important role as transportation fuel. Fuel cells use hydrogen and oxygen to produce electricity without harmful emissions, and water is the main by-product. The predominant method for producing large quantities of hydrogen fuel is steam reforming of natural gas. High production costs and hydrogen storage are the current limitations. However, the huge environmental benefits may make hydrogen fuel cell vehicles a common sight on the roadways of America.¹⁴

Plugging Into Electric Vehicles

There are two main infrastructure requirements for EV: Transmitting electricity from generation facility to the vehicle recharging location and equipment and systems to control, monitor and safely transfer electricity to the vehicle. The battery limitation is the biggest obstacle of the development of EV. To have enough power, the vehicle has to carry as many batteries as possible, which can cause the vehicle too heavy. Furthermore, the batteries have to be charged rapidly, so they must be replaced every 3 to 6 years. The dedicated vehicles produce no pipeline emissions, and the emissions for producing electricity at power plant can be controlled easily. Therefore, electricity is also an ideal transportation fuel for the future. Researches keep working on the efficient batteries that will increase the electric vehicle range.¹⁵

¹² "Bio-SNG (Synthetic Natural Gas) and Gasification Technologies." European Biofuels Technology Platform. N.p., 25 Mar. 2013. Web. 5 Oct. 2014. <<http://www.biofuelstp.eu/bio-sng.html>>.

¹³ NEED, "Transportation Fuels: The Future is Today" 2006-2007 Page 16<<http://www.formula-hybrid.org/wp-content/uploads/DOE-Alternative-Fuels-Teacher-Guide.pdf> >

¹⁴ International Energy Agency "Production Costs of Alternative Transportation Fuels" http://www.iea.org/publications/freepublications/publication/FeaturedInsights_AlternativeFuel_FINAL.pdf

¹⁵ NEED, "Transportation Fuels: The Future is Today" 2006-2007 Page 15<<http://www.formula-hybrid.org/wp-content/uploads/DOE-Alternative-Fuels-Teacher-Guide.pdf> >

Fleet Managers Save Millions with CNG

This article presented success examples of Central Ohio Transit Authority (COTA), Culver City, Calif., and Houston that converting the fleet of buses to run on compressed natural gas (CNG) can save millions of dollars a year. Based on studies, natural gas costs from \$1.50 to \$2 less per gasoline gallon equivalent (GGE). Compared to diesel, CNG reduces greater than 90% in particulate matter emissions and 50% decrease in nitrogen oxides. Consisting mostly of methane, CNG is an inherently safe fuel that odorless, colorless, tasteless, nontoxic and no threat to land or water. It is reported that as many as half of new transit buses are powered by natural gas. CNG buses have some key features: they are quieter, no matter interior or exterior; can save about 145 barrels of petroleum annually at average operating conditions; the buses can reduce output of, in particular, visible particulate emissions considerably.

Driven by economics and the environmental benefits, COTA decided to make the switch of more than 300 buses' fuel from diesel to CNG in 2011 after a comparative study. It is estimated that fuel savings will be around \$7 million a year. In 2004, Culver City converted 100% of its transit fleet to CNG. In 2013, it saved about \$1.4 million in fuel costs, paying the gasoline equivalent of 77 cents a gallon for CNG. Before the switch, the city used 850,000 gallons of diesel a year. Now it uses only 77,000 gallons at a cost of more than \$4 per gallon¹⁶.

Microgrids

Microgrids are "integrated energy system(s) intelligently managing interconnected loads and distributed energy resources capable of operating in parallel with, or independently, from the existing utility's grid" (Sanchez). The article *Why the Microgrid Could Be the Answer to Our Energy Crisis*, created an analogy in which an individual goes to a local hardware store and purchases a solar appliance that is as easy to install as a home appliance. This solar setup produces the electricity needed to power your home and while your home is still connected to the existing grid, the connection is now a two way street.¹⁷ This analogy can be expanded to multiple homes or commercial properties and after a time, there will be a community of interconnected buildings, a microgrid.

¹⁶ F. Alan Shirk "Half of New Transit Buses are Powered by Natural Gas"
<http://www.sustainablecitynetwork.com/topic_channels/transportation/article_42adb76e-2340-11e4-bcdf-001a4bcf6878.html?utm_source=SCN+InBox+e-Newsletter&utm_campaign=e1ce2a21fd-Newsletter_8-13-2014_Muni&utm_medium=email&utm_term=0_11e7ac761c-e1ce2a21fd-188591733>

¹⁷ Kamenetz, Anya. "Why the Microgrid Could Be the Answer to Our Energy Crisis." *Fast Company*. N.p., n.d. Web. 05 Oct. 2014.

In 2007 Ansonia, CT Mayor James Della Volpe announced plans for the implementation of an Energy Improvement District.¹⁸ The main goals of this project, and microgrids in general, were to allow local residents and businesses to save money, while simultaneously reducing strain on the local grid.¹⁹ During heat waves or massive storms, such as Superstorm Sandy, many grids are subject to overloads and/or blackouts. Widespread interest in renewable energies, irregularities in supply and potential for extreme loss of power have jump-started the microgrid movement.

While microgrids can be powered with traditional fossil fuels, there is a general preference to incorporate renewable and more environmentally friendly energy sources. Solar, wind, hydro, and combined heat and power (CHP) are the most environmentally conscious energy sources.²⁰ However, these energy sources also tend to have the most power quality issues. Voltage sags and swells, current harmonics and flickers are the most prominent quality issues. Variations in wind strength and direction, combined with the ever-changing cloud cover and solar intensity are the main contributors to power quality issues. The renewable energy systems have the benefit of being pollution free, but traditional sources, such as diesel, have fewer power quality issues. Variations in quality necessitate a safeguard, which is typically a traditional generator. CHP units are also very reliable and can have efficiencies as high as 80%.²¹ Compared to a traditional power plant, which is around 35% efficient, CHP offers a cleaner option to traditional fuels.

An important aspect of a microgrid's ability to be off-grid is its ability to store energy. Storage balances the short term power and energy demand with generation capabilities. Storage options are batteries, flywheels and supercapacitors. While they have relatively similar charging efficiencies, a range from 80-90%, cost, service life and environmental impact can vary greatly. The most efficient and environmentally clean options, flywheels and supercapacitors, are also twenty to thirty times more expensive than batteries.²² Improvements in storage capabilities will allow microgrids to be more independent and in the case of a massive blackout, to stockpile energy until it is needed.

Microgrids are a costly enterprise requiring large upfront payments for planning of the grid, construction of the site and all the required equipment and transmission lines. In 2013, Connecticut announced plans to build nine small microgrids at a total cost of \$18

¹⁸ Warner, Chet. "U.S. Mayor Article | Ansonia (CT) to Implement Energy Improvement (July 30, 2007). *"U.S. Mayor Article | Ansonia (CT) to Implement Energy Improvement District (July 30, 2007). N.p., n.d. Web. 05 Oct. 2014.*

¹⁹ Warner, Chet

²⁰ Lubna Mariam, Malabika Basu, and Michael F. Conlon, "A Review of Existing Microgrid Architectures," *Journal of Engineering*, vol. 2013, Article ID 937614, 8 pages, 2013. doi:10.1155/2013/937614

²¹ Lubna Mariam, Malabika Basu, and Michael F. Conlon.

²² Lubna Mariam, Malabika Basu, and Michael F. Conlon,

million.²³ Using that value as a starting point, it is reasonable to assume that a microgrid, large enough to supply power to an entire county or state, would cost many millions more. To offset the high initial investment needed, there are financial incentives available. The Self-Generation Incentive Program (SGIP) awarded \$3 million to the University of California at San Diego for their 42-megawatt microgrid.²⁴ There are also numerous feed-in tariffs and energy investment tax credits that can be applied to a microgrid to reduce the initial capital needed. There are also numerous ways to earn revenue once the system has been built. Over time, reduced utility costs and the potential to sell excess energy back to the grid help offset the system cost. However, the reduced emissions of CO₂ and other greenhouse gases could prove to be the most beneficial aspect of a microgrid.

Along with their complexity, microgrids are facing large opposition from investor-funded utilities. The concept of a microgrid pits local producers against large utilities and as said by Ed Legge, a member of the Edison Electric Institute, the lobbying organization for utilities, “We’re probably not going to be in favor of anything that shrinks our business.”²⁵ Attitudes like this, that favor profits over progress, are a reason that microgrids and other ideas like it, often fail. Utilities are going ahead with massive investments in current grid infrastructure that do not accommodate a move to microgrid technology, which limits the potential for a combined and intelligent grid.²⁶ Pike Research sees microgrids as a kind of Lego, a building block that will lead the way to an interactive, two-way and sustainable grid.²⁷

As mentioned before, the University of California at San Diego received \$3 million for their microgrid project. The microgrid is operated in parallel with San Diego Gas & Power and serves 11 million square feet of buildings. Their 42-megawatt system has a 30-megawatt natural gas CHP plant, 2.8-megawatts of fuel cells and 1.2-megawatts of solar photovoltaics.²⁸ Even though the energy density of their buildings is twice that of a typical commercial space, they still manage to produce 92% of their annual energy loads and 95% of heating and cooling loads.²⁹ This model proves that a microgrid can be integrated with a utility company and both can prosper, while creating green energy.

²³ Ferris, David. “Microgrids: Very Expensive, Seriously Necessary.” *Forbes*. Forbes Magazine. N.d. Web. 05 Oct. 2014.

²⁴ Microgrids. *Welcome to CSE*. N.p., n.d. Web. 05 Oct. 2014.

²⁵ Kamenetz, Anya.

²⁶ Asmus, Peter. “Why Microgrids Are Inevitable | Articles | Business Energy.” *Why Microgrids Are Inevitable | Articles | Business Energy*. N.p., n.d. Web 05 Oct. 2014.

²⁷ Kamenetz, Anya.

²⁸ Sanchez, Ivette. “Microgrid Technology.” *Bio Tribune Magazine* 18.1 (2006): 8.2003. Web.

²⁹ Microgrids.

District Energy

District Energy systems are a highly efficient way to heat and cool buildings in a given region. Systems can include networks of underground pipes to pump steam, hot water or chilled water to provide heating or cooling for an area. Different sources of thermal energy vary from system to system. Often plants have cogeneration plants; CHP (Combined Heat and Power) plants generate electricity power in addition to heating and cooling. Although constructing a new district energy system for an area is a huge endeavor, achieving a high energy efficiency of at least 80% is worth it.³⁰

There are many examples of district energy systems in the United States ranging from systems powering universities, to hospitals, and to portions of cities. One example is right here at Cornell University. The university has a heating plant with a cogeneration system that cuts at least 20% of greenhouse gas emissions which amounts to about 89,300 tons per year. Cold lake water is pumped through a district energy system to cool its building which leads to decreasing the universities' cooling need by 86 percent.³¹

The Netherlands is also a huge proponent of district energy and utilizing efficient energy systems. Since 2008, the Dutch Foundation for Applied Water Research teamed with MWH to produce a report indicating how sewage works could generate a surplus of energy by 2030. 13 of the 26 existing water authorities in the Netherlands are trying to maximize energy production via enhanced digestion and minimizing all other energy consumption areas. The key to energy neutrality, as detailed in the report, is via enhanced primary sedimentation by the addition of chemicals or the addition measure of thermal hydrolysis of waste activated sludge. Until the year 2030, they would like to achieve a two percent reduction in energy usage per year; this has created an entire rebranding effort that is pushing innovation to increase power generation. Currently, Holland wants to push for "NEWater factories" which can produce its own nutrients, energy and water from wastes. "The energy factory aims to maximize energy recovery from sewage sludge; the nutrient factory aims to recover valuable resources (phosphorus) from wastewater; and the water factory aims to reuse wastewater for different purposes, such as process water, boiler feed water, recreation water and agricultural water."³² If the IAWWTF could use these kinds of technologies to enhance their energy production, they could easily reach their goal.

³⁰ "What Is District Energy." Environmental and Energy Study Institute. Sept. 2011. Web. 2 Oct. 2014. <<http://www.districtenergy.org/assets/pdfs/White-Papers/What-IsDistrictEnergyEESI092311.pdf>>.

³¹ "What Is District Energy."

³² Ratcliff, Richard. "The Netherlands Energy Factories." *Utility Week*. 8 Oct. 2011. Web. 2 Oct. 2014. <<http://www.utilityweek.co.uk/news/The-Netherlands-energy-factories>>.

Grants

While briefly going through the NYSERDA funding opportunities, there are a few relating to the installation of Combined Heat and Power systems in New York State. Funding ranges from incentives on installation of clean and efficient CHP systems and other renewable energies to feasibility studies, and to process improvement. The PON 1746 – FlexTech Program has a few criteria for eligible study areas such as detailing through a report regarding “cost shared studies of energy efficiently technical analysis, process improvement analyses, energy master plans and demand responses opportunities of existing facilities for eligible customers.”³³ For most studies, NYSERDA can contribute 50% of the costs up to the lesser of either 1,000,000 or 10% of the annual energy cost per year.³⁴ More information and paperwork is detailed on the NYSERDA website. There are also energy generation programs, which includes an Anaerobic Digester Gas to Electricity, program which offers funding for the purchase, installation and operation. They are also classified into Capacity incentives and performance incentives. Another program is the PON 2722; this details proposals for demonstrating projects that can move a Waste Water Treatment plant to zero net energy.³⁵ Getting funding through NYSERDA and obtaining one of these grants may be a huge time investment, however the support provided is invaluable.

Energy Usage in a WWTF

Most wastewater treatment plants in the United States have varied load sizes and electricity consumption, however the breakdown of energy usage in the plant is similar. As seen in Figure 1, pumping water accounts for 12% of overall energy demand. This could mean that the majority of low points in the treatment facility are not that low in comparison to the plant itself. The highest energy usage is aeration, which means that the energy required to continually create air bubbles is significant.³⁶

³³ "FlexTech Program." *NYSERDA*. 11 Sept. 2014. Web. 06 Oct. 2014.

<<http://www.nysERDA.ny.gov/Energy-Efficiency-and-Renewable-Programs/Commercial-and-Industrial/CI-Programs/FlexTech-Program.aspx>>.

³⁴ Gilroy, Tim, and Venice Forbes. "FlexTech Program." *NYSERDA*. N.p., July 2014. Web. 2 Oct. 2014. <<http://www.nysERDA.ny.gov//media/Files/FO/Current%20Funding%20Opportunities/PON%201746/1746summary.pdf>>.

³⁵ Marpicati, Silvia. "NYSERDA: Energy Program Funding Opportunities." *New York Water Association Environment*, Summer 2013. Web. 2 Oct. 2014. <<http://nywea.org/clearwaters/13-2-summer/6.pdf>>.

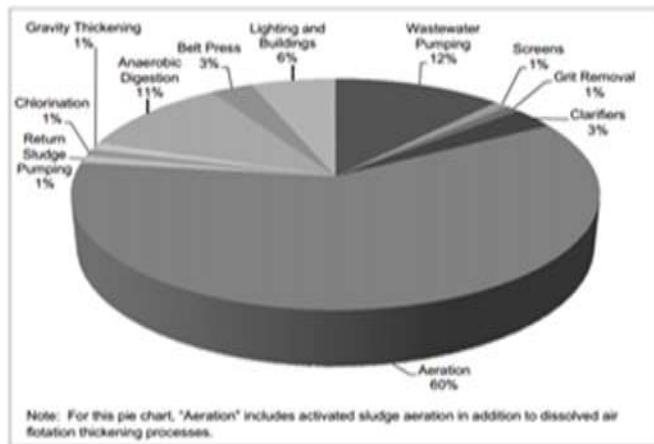


Figure 2: Percentage Breakdown of Typical Wastewater System Energy Consumption in the U.S.

Energy consumptions do vary depending on size of the plant, efficiency of the technologies inside the plant, and types and age of technology in the plant. Regarding efficiencies in California, there are almost 140 wastewater treatment facilities that utilize anaerobic digesters. However each of these digesters are oversized by at least 15 to 30 percent.³⁷ This could easily be utilized to create more energy for the plant. As another example of a technologies changes in a treatment facility in North Carolina, which is a 10MGD Activated Sludge WWTP, the total electricity consumed is 8,532 kWh/d. if this same plant were to add Advanced Treatment with Nitrification, the energy consumption increases to about 14,412 kWh/d. This is a 69% increase.³⁸

A large-scale facility in the San Francisco Bay Area, East Bay Municipal Utility District, not only produces electric power but also has a wastewater treatment plant that is a net energy producer. The plant produces 55,000 MWh/year through its Biogas Production. It turns food waste collected from restaurants, wineries and many other locations into renewable energy.³⁹ From an ASCE article regarding the WWTF, the plant has an average power consumption of 4.5 MW on a daily basis and it can save 3 million dollars a year by powering itself. In the year of 2012, the utility district will generate up to \$400,000 in excess electricity that will be sold back to the grid. The plant also has around 100 trucks pull in per day, which results in 200 tons of organic waste that will then be

³⁷ "Turning Food Waste into Energy at the East Bay Municipal Utility District (EBMUD)." *US EPA*. Environmental Protection Agency, 23 Aug. 2013. Web. 05 Oct. 2014. <<http://www.epa.gov/region9/waste/features/foodtoenergy/wastewater.html>>.

³⁸ Menendez, Marco

³⁹ Coate, Alexander. "EBMUD Energy: A Commitment to the Environment." *EBMUD.com*. Mar. 2012. Web. 6 Oct. 2014. <http://www.ebmud.com/sites/default/files/pdfs/energy-fact-sheet-03-12_1.pdf>.

converted to electricity. The waste goes through the digester and is then pumped to the Facilities power generation station that has three internal combustion engines which produce 11 MW in total. In 2012 the plant treats 70 million of wastewater daily which yields about 7MW of renewable energy.⁴⁰

Another great example of a WWTF that creates its own energy is the Newtown Creek Plant in Brooklyn, New York. It has eight anaerobic digester eggs. They process as much as 1.5 million gallons of sludge every day.⁴¹ They currently take in 250 tons per day from different schools around the area and foresee and increase to 500 tons per day of organic food waste. The biogas produced from here and from the wastewater treatment process will hopefully be 100 % reused with help from National Grid. The Department of Environmental Protection is reusing currently only 40%. National Grid Plans on converting the biogas into a pipeline quality renewable gas, which is projected to reduce greenhouse gas emissions by 30% by 2017, compared to an equal quantity of gas from conventional sources.⁴²

Information and Initial Data

Ithaca Wastewater Treatment plant

Ithaca Area Wastewater Treatment Facility (IAWWTF) went to service 1987, and they currently treat an average of 6.5 MGD of waste. Major portion of the waste is received from City of Ithaca, and other communities include Town of Ithaca and Town of Dryden. Most of the waste gets trucked in and the remaining flows through distribution pipes connected to septic tanks and storm drains. The treated wastewater flows through a 6 inch pipe to Cayuga Lake.

The primary goal of IAWWTF is to remove Phosphorous, Biological Oxygen Demands and Solids, which is currently being done at an efficiency of approximately 90%.

⁴⁰ Boranyak, Sharon. "Wastewater Plant Turns Food Into Electricity." *Wastewater Plant Turns Food Into Electricity*. American Society of Civil Engineers, 1 May 2012. Web. 05 Oct. 2014. <http://www.asce.org/CEMagazine/Article.aspx?id=25769808234#.VDKqy_IdXEt>.

⁴¹ "The Newtown Creek Digester Eggs." *Newtown Creek Digester Eggs*. NYC Environmental Protection, 2014. Web. 03 Oct. 2014. <http://www.nyc.gov/html/dep/html/environmental_education/newtown_digesters.shtml>.

⁴² "New York City Converts Waste to Biogas and Improves Emissions." *Energy Digital*. N.p., 14 Apr. 2014. Web. 02 Oct. 2014. <<http://www.energydigital.com/renewables/3408/New-York-City-converts-waste-to-biogas-and-improves-emissions>>.

Major Source of Waste

IAWWTF receives waste primarily from three different sources; Septage from residence, Food waste from large institutions and industrial waste from the local community.

- **Septage** – Currently, Septage constitutes to 80% of the waste that flows into the facility. IAWWTF has a piping capacity 100,000 people mainly from City of Ithaca, town of Ithaca Dryden. The above capacity of Septage is in addition to the waste that's gets trucked into the facility.
- **Food Waste** – Large institution in the community like Cornell University and Ithaca College contribute to the major part of the food waste that's gets trucked in to the facility. There is lot of additional capacity available, and the plant owners are trying to negotiate with the community to increase the flow of food waste. Information about available food waste from Ithaca and Tompkins County was provided by Dr. Jose Lozano at IAWWTF, and was originally obtained from local studies by Jean Bonhotal and Joe Usack.
- **Other Sources** – To maximize the flow, the plant owners are trying to bring in more waste from industries in the community, which also includes animal carcass from veterinary college.

From these sources of waste, we can understand the flow of energy and waste into the plant and out via biogas and landfill waste. The biogas is then converted to energy, and heat through the combined heat and power turbines. With the information given to us from CHP efficiencies and amount of waste coming in and energy conversion factors, we were able to create a working flow diagram for the plant.

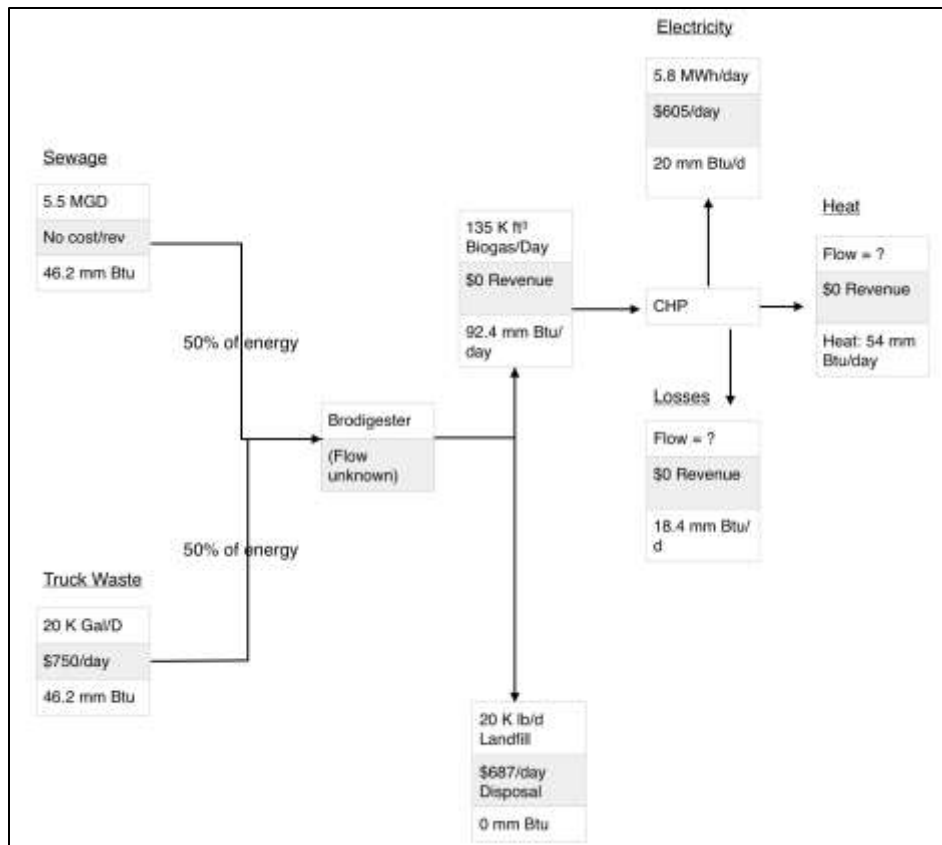


Figure 3: Flow Diagram for IAWWTF, showing material, financial, and energy flows through the system.

Waste Processing

IAWWTF processes waste in three different stages, primary, secondary and tertiary. Major input to the facility is the waste, which flows through pipeline or trucked into the facility. The primary stage includes gravity settling, where the heavy sludge gets separated from the wastewater. The wastewater moves to the second stage of Activated Sludge Process. This is the stage where most of the energy produced/purchased is used. In this process, aerobic microorganisms are introduced to the clarified wastewater under constant aeration. The microorganisms assimilate organics in the wastewater, and the heavy sludge with organisms gets separated.

The tertiary process is where chemicals such as ferric chloride and polymers are introduced to separate phosphorous from wastewater. The waste is chlorinated for disinfection and de-chlorinated before entering the pipeline to Cayuga Lake. The waste sludge that's gets separated at the three different stages is dewatered and sent to the Bio-digesters. The anaerobic digestion occurs to reduce the total biomass. The waste is digested for 28 days at 98°F, to produce Biogas. The waste sludge is again dewatered and made into a dry cake, which is transported to landfill.

CHP Initiative of IAWWTF

The biogas produced from the bio-digesters is run through the Combined Heat and Power (CHP) system. In early 2000, the government realized that 3% of the nation's produced electricity was being consumed in treating water and waste. They requested the Environmental Protection Agency (EPA) to come up with an initiative for wastewater treatment plants to implement alternate sources of energy such as solar energy systems, wind turbines, hydro turbines and CHP systems. The EPA has also promoted the Pumped Energy Recovery Program (PERP) as a way to make plants, such as the Point Loma plant near San Diego, CA, more efficient.

Wastewater Treatment Facilities (WWTF's) nationwide responded to this initiative and they tried to adopt technology, which is more suitable for them, depending on the infrastructure available. For example, one of the country's largest waste treatment facilities, Point Loma in San Diego, implemented hydro turbines, considering the fact that they have a 90 feet waterfall when the effluent flows into ocean. Similarly, other plants started implementing alternate energy sources, which were more suitable and met their energy demand. Two other potential sources of energy, which were being widely deployed, were Solar Photovoltaic systems and Combined Heat and Power (CHP) systems.

In 2007, the EPA released its report identifying opportunities for CHP systems at wastewater treatment plants. The report considered that this new technology is beneficial for facilities with more than 5 MGD of flow, but later trials were conducted to include facilities with flow rates of 1 to 5 MGD. Based on this initiative and with an influent flow rate of 6 MGD on average, the IAWWTF adopted the CHP system in 2008. The CHP system has many benefits such as 80% efficiency, reduction of capital and operational costs, and production of clean energy with limited CO₂ emissions.

Table 3: Number of U.S. Wastewater Treatment Facilities with Anaerobic Digestion and without CHP

WWTFs Flow Rate Range (MGD)	Total WWTFs	WWTFs with Anaerobic Digestion	Percentage of WWTFs with Anaerobic Digestion
>200	10	7	70%
100-200	18	13	72%
75-100	25	17	68%
50-75	24	17	71%
20-50	137	82	60%
10-20	244	140	57%
5-10	451	230	51%
1-5	2262	845	37%
Total	3171	1351	43%⁴³

⁴³ *Clean Watersheds Needs Survey 2004 Report to Congress*. Washington, D.C.: United States Environmental Protection Agency, Office of Water, 2008. *Environmental Protection Agency*. Jan.

The CHP system takes in variety of fuel sources such as natural gas, biomass, biogas and coal, to produce both heat and electricity. The current consumption of the IAWWTF is 334,200 kWh a month; with an increase in the flow of waste in the future, this demand will increase. With the current rate of production of biogas, the IAWWTF is able to manage 60% of its energy demand using the electricity produced from the CHP system. The heat produced from the CHP turbines is currently being used to heat the biodigesters.

District Energy

In 2006, Ithaca Common Council approved a plan to reduce the energy consumption in the community by 20%. Based on this initiative, a project to create District Energy – Combined Heat and Power Microgrid was proposed in Ithaca. The projects will create a 12 mW grid to power residences and other commercial developments, built on a land area of 3.5 million sq.ft. The plan was to produce excess electricity using the existing CHP turbines and at the same time install solar panels on the rooftop of the buildings. The aerial view of the proposed development can be seen in the picture below.

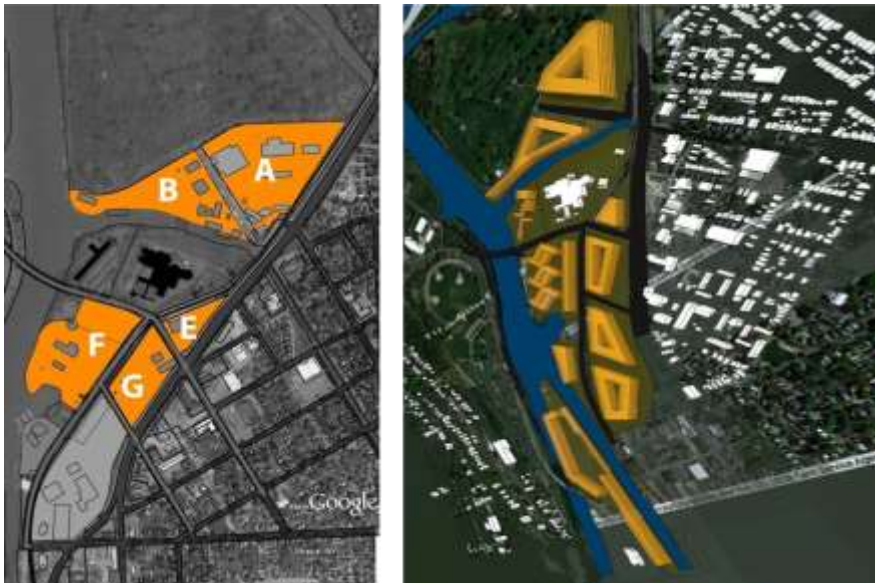


Figure 4: Ithaca Energy District

This initiative will produce clean energy, which will eventually reduce the CO₂ emission in the community. There are over 100 wastewater treatment plants in the country and every plant has an influent capacity ranging from 1 to 400 MGD of waste. The combined potential of these plants have been ignored for a long time until EPA released a report with the benefits of producing energy using WWTF. The below table provides a

2008. Web. 7 Oct. 2014.
<<http://water.epa.gov/scitech/datait/databases/cwns/upload/cwns2008rtc.pdf>>.

comparison on the electricity potential of the WWTF in U.S and the amount of CO₂ emission which can be reduced. The estimate of 411 MW provided in the table and the potential savings of 3 million metric tons of Co₂ is based on the assumption that the plant uses all the produced electricity and excess power is not exported to the grid. But, for economic reasons, part of this produced electricity is being sold to the grid to generate revenue and meet the operational expenses.

Table 4: Potential Carbon Dioxide Emissions Displaced with CHP at Wastewater Treatment Facilities

Input/output	Value
Electrical potential at WWTFs with anaerobic digesters	411 MW
Total annual electricity production (Assumes year round production)	3,600,000 MWh
Adjusted all fossil average CO ₂ emissions factor	1860 CO ₂ / MWh
Total displace CO ₂ emission	3,000,000 metric tons CO ₂ / year
Equivalent number of passenger vehicles	600,000

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Key parameters considered for model

1. The design capacity of the plant is 13.1 MGD and the average flow of sewage daily is 6.5 MGD.
2. The average BOD of the treated water released into Cayuga Lake is approximately 11 mg/L.⁴⁵
3. Energy demand of the plant at the current capacity is 334,200 kWh/month.
4. Expenditure:
 - Unit cost of electricity purchased from the grid is \$0.105 per kWh.
 - The avoided cost of selling electricity back to the grid is \$0.08 per kWh.
 - Solar panels for the new development will be installed at the rooftop.
5. For the purpose of optimization, the land area considered in IED is 170,000 sq.ft and the number of residences expected in this area is 300.
6. The solar system installed on the rooftop has an ability to produce 4.33 W per sq.ft

⁴⁴ *Opportunities for Combined Heat and Power at Wastewater Treatment Facilities: Market Analysis and Lessons from the Field*

⁴⁵ "Wastewater Treatment." *City of Ithaca NY*. N.p., n.d. Web. 4 Oct. 2014.
<<http://www.cityofithaca.org/331/Wastewater-Treatment>>.

7. NSERDA has come up with a program to provide subsidy for industries producing over 200 kW of electricity using solar system. To account for the subsidy, the model uses \$120 per kW per year as the annual capital expenditure for solar against the \$179, which is the actual capital cost for solar. This is based on the assumption that the plant as subsidy will receive \$59 per kW per year.

Table 5: Cost per Energy Source

Source:	Annual Capital	Subsidy	Total annual cost
	\$/kW/yr.	\$/kW/yr	\$/kW/yr
Solar	179	59	120
Biomass	363	-	363

Source:	Operational Cost
	\$/mWh
Solar	4
Biomass	25

Optimization and Modeling

In order to calculate profit estimates for the four scenarios that we considered, we utilized the Solver functionality through Excel. By exploring information unique to the IAWWTF and specific to each constraint, we were able to set constraints on our variables and find decision values for maximizing capacities for our solar and biogas infrastructures.

The variables we solved over were the following:

Energy Sources: $i \in \{\text{Biomass, Solar}\}$

Months: $j \in \{\text{January, February, ..., December}\}$

Types of Waste: $k \in \{\text{Septage, Grease, Portable Toilet Waste, Water, Whey, Leachate, Industrial Sludge from Factory Sites, Municipal Sludge}\}$

We constrained the model using plant and residential energy demand, as well as capacities of solar and biomass.

$$\forall j. \sum_i \text{kWh}_{i,j} \geq \text{Residential Demand}_j + \text{Plant Demand}_j$$

$$\forall i. \text{Capacity}_i \leq \text{Constraint}_i$$

The overall goal for each of the scenarios was to increase total revenue, a sum of the revenue gained from selling and buying energy, and tipping profit, a sum of profit earned from collecting tipping fees, paying tipping fees, and selling bio-cakes to various farms.

Total Revenue = Energy Profit + Tipping Fee Profit

Energy Profit = \sum_j Residential price/kWh * (Total kWh produced in month j - kWh sent to plant in month j) + Plant price/kWh * kWh sent to the plant in month j

Tipping Profit = $\sum_k \sum_j$ lbs. _{k} tipped in month j * Tipping fee _{k} /lb. _{k} - Landfill Price/lb. of cake * lbs. of resultant cakes

Costs consist of operating costs, dependent on energy usage, and a capital cost over 20 years, for each of the two energy resources.

Total Costs = \sum_i **Operating Cost** _{i} + **Capital Cost** _{i}

Operating Cost _{i} = \sum_j Amount of i produced in month j * $\frac{\text{Cost}_i}{\text{kWh}}$

Capital Cost _{i} = Capacity _{i} * $\frac{\text{Cost}_i}{\text{year}}$

Current Status

The Ithaca Area Wastewater Treatment Plant currently uses 4 microturbines that have a total rated capacity of 260 kW of electricity. The turbines have around 92% capacity factor so the plant actually has a capacity of 234 kW. Over the year, biomass capacity amounts to 2,049,840 kWh. In addition, the plant has 7.5 kW of Solar Panels already installed which also generate electricity for them.⁴⁶ These solar panels can produce about 9,195 kWh during the year based solar capacities per month. This value is similar to the National Renewable energy Labs (NREL) figure of 1,228 kWh per kW which amounts to 9,210 kWh/yr. Currently, this solar production is less than 0.28 percent of total plant demand, and it ranges from 0.33 to 0.54 percent of total energy produced.

After assuming annual capital costs and annualized operational costs per kW per year, our total capital cost from Solar and Biomass are \$1,343 and \$94,380 respectively which totals to \$95,723. The total operational costs for each are \$37 and \$51,246, which amount to \$51,283 per year. With this initial investment per year, the 260 kW of turbine capacity and 7.5 kW of solar capacity can produce 2,049,840 kWh per year and 9,195 per year respectively. The plant requires 334,200 kWh per month and the combined kWh from solar and biomass usage yields about 47% to 52% of the plant's total electricity.

By producing some energy from the microturbines and solar panels, the plant can avoid some electricity costs, but there is still a net cost of \$125,668 because energy still needs to be bought from the grid. The unit cost of electricity used in our analysis is \$0.0644 per kWh. In addition, the plant can gain revenue from trucking fees; in 2013, there was a revenue of \$20,413 from tipping fees. The landfill fee per day is \$687 per day. On average the tipping fee was \$0.03 per gallon, but the price varied according to different waste types. As discussed previously, the different waste streams entering the plant from trucked waste are Septage, Grease, Portable Toilet waste, Water, Whey, Leachate, Industrial sludge from factory sites and Municipal Sludge. Septage, Grease, Portable Toilet Waste and both Industrial and Municipal sludge have a tipping fee of five cents. Water and leachate have a fee for one cent and Whey has a fee for three cents. For the year 2013, total revenue from trucking in waste is about \$271,167 and the avoided landfill fee is negative \$250,755. Therefore the overall revenue from quantity of gallons tipped was greater than the price of sending wastes to the landfill.

Figure 5 below indicates the number of gallons that arrived at the plant from a specific waste stream in the year 2013. It is clearly visible that septage is the largest contributor to

⁴⁶ Note that the contribution of the 7.5 kW array to this industrial-size electric load is quite small. The 7.5 kW array produces, at a local to Ithaca figure from the National Renewable Energy Laboratories of 1,228 kWh per kW per year, about 9,200 kWh per year.

the waste. We used these yearly quantities to help us solve for an accurate revenue value from trucking waste.

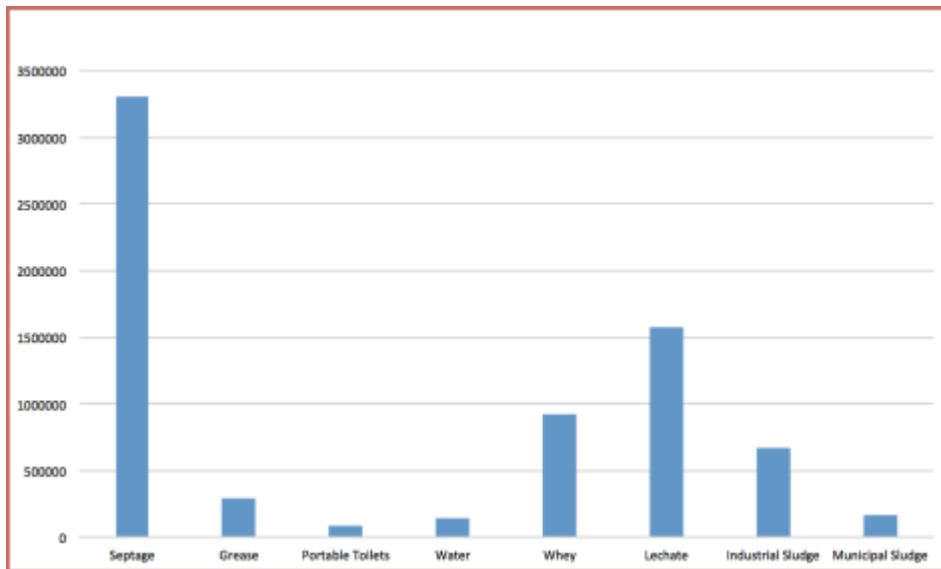


Figure 5: Gallons of Waste in 2013

From the combined annualized investment of \$147,005, negative revenue of \$125,668 from buying electricity, and positive revenue from tipping fees of \$20,413, the net revenue of the plant right now is negative \$252,260. The Ithaca Area Wastewater Treatment Facility is currently in a deficit and codependent on the grid, and should look into a way to make more money. The scenarios that follow show different ways for the plant to make money and energy.

Scenario Analysis

Understanding the current situation and the different energy sources IAWWTF wanted to utilize the team created four different scenarios which would take advantage of the IAWWTF's technology, the areas resources, and producing as much energy as possible. The first scenario emphasizes self-sufficiency by increasing biomass intake for just the combined heat and power system to be just enough to power the facility. The second scenario deals with using both biomass and solar where biomass is capped at 800 kW due to limitations in the Tompkins County area and solar energy was capped at 3,000 kW. With the latter constraint, the team noticed that no solar was being allocated therefore we placed a minimum constraint on solar dependent on the number of residences being built in the new development. This scenario also details how solar grants can positively affect the solution. Scenario three deals with using some trucked waste (like grease) and the energy produced from biomass and solar energy to create biodiesel. The premise behind scenario four is to reinvest any revenue produced by the model into hydro-turbines to harness some of the hydraulic energy in the plant.

Scenario 1:

The premise behind this first scenario is for our optimization model to produce just enough energy (from just biomass) for the plant so that it becomes self-sufficient. This means that every month about 334,200 kWh must be produced. This can be achieved by adding 5 more microturbines which yields about 585 kW of maximum capacity. In order to achieve 334,200 kWh per month, only 553 kW of biomass needs to be harvested but since adding 4 more turbines (a total of 8 microturbines) only yields a maximum capacity of 520 kW, this was not a feasible solution. By adding 5 more turbines, capital costs and operational costs increase but since we are not including the existing turbines, the total new annualized capital investment is \$106,206. Since the operational costs will always be incurred it increases to \$100,260, which yields a final sum of \$206,466.

One major difference in this scenario is that since all the energy the plant needs is produced in-house, the avoided cost is higher and no energy needs to be purchased from the grid. This creates a net revenue of \$421,092 dollars. The avoided cost of purchasing energy is about \$0.105 per kWh.

Initially, trucking fees and landfill fees were included but since we could not accurately assess how to expand our current calculations, we assumed that tipping fees would equal landfill fees. We are assuming that this process is revenue neutral because tipping fees coming in minus the cost for sending out waste includes the cost of transporting the waste from the plant to the landfill, therefore it is truly revenue neutral. Since we are expanding our biomass intake from 260 kW to 553 kW the amount of biogas generation needs to increase from 135,000 cubic feet per day to 286,000 cubic feet per day. This is a 2.12

times increase in biogas requirement which does mean that more trucked waste will need to come in, but just as much waste will need to be sent to landfill. In the future, if a market can be found for waste products leaving the IAWWTF that are currently being landfilled, then the combination of tipping and landfilling fees might become a net positive revenue stream. In addition, other modes of transportation, like trains, can be investigated to cut down costs to the landfill and create a net profit from the tipping fees.

With an initial investment of \$206,466 and revenue of \$421,092 from avoided electricity costs which yields net revenue of positive \$214,626 per year.

Scenario 2:

The goal of the second scenario is to use the CHP system and solar photovoltaics to produce enough energy to make the IAWWTF self-sufficient, and to produce adequate energy for 300 homes in the proposed Inlet Energy District. These 300 homes have an estimated footprint (roof space) of 170,000 square feet and a demand of 1,503,880 kWh per year. In addition to the IAWWTF's demand of 334,200 kWh per year, it is found that the total demand for Scenario 2 is 1,838,080 kWh per year.

Under Scenario 2, there are two possible ways to meet the desired demand. Option A is to use only the CHP system and biomass. This was the outcome from the optimization model with no constraint on the minimum amount of solar capacity. In this case, the optimal turbine capacity is approximately 800 kW, which results in an annualized capital cost of \$196,000. In addition to the capital costs, there is also an annualized operational cost component that totals \$157,000. Assuming a sellback price of \$0.08 per kWh, after producing enough energy for the plant and the surrounding 300 residences, the excess electricity can be sold to the grid for annual revenue of \$694,000. This results in net revenue of \$341,000 per year for the plant.

It should be noted that Scenario 2A assumes that there is enough food waste and other material to adequately power the 800 kW turbine system. Based on initial findings, total food waste biogas production in Tompkins County is enough to meet that demand.

While the above scenario is adequate to produce the needed energy, there is a strong desire to consider not only financial impacts, but also social and environmental. These three considerations, known as the triple bottom line, are why Scenario 2B, which includes solar power, has been included in this analysis. The demand is as stated above, 1,838,080 kWh per year, but there is now a solar array in addition to the 800 kW turbine systems. To encourage further development of the Inlet Energy District, the solar panels will be mounted on rooftops to minimize land use. The solar array for Scenario 2B will be built on the proposed 170,000 square foot Inlet Energy District residential development.

For a typical solar system, a capacity of 4.33 kW/sq ft is reasonable⁴⁷, which allows for the installation of a 737 kW solar system. The annualized capital cost of this solar system and the turbine buildup, to reach 800 kW, is \$284,000. This capital cost includes an estimated subsidy of one-third of total costs, for the installation and purchasing of the solar panels. These subsidies are from both the United States government and state-wide subsidies offered by New York State. The operational costs for the solar and turbine combination is only \$4,000 more per year than the turbine system on its own, but the plant can now generate yearly revenues of \$767,000. When considering the increased annualized capital and operational costs, the option to use solar may seem unappealing, but with the conservatively estimated subsidies, the combination of systems results in a yearly net revenue of \$321,000.

While Scenario 2B has lower yearly net revenue, when considering the triple bottom line, it is still a favorable option. A diversified renewable energy portfolio not only decreases volatility in production based on weather or incoming materials, but also decreases environmental impact. Therefore, Scenario 2B is preferred to Scenario 2A.

Scenario 3:

Along with using the CHP system and solar photovoltaics to produce enough energy to IAWWTF and to produce adequate energy for 300 homes in the proposed Inlet Energy District, we also want to use the grease from the food waste to produce biodiesel to supply moderate amount of fuel for TCAT. In 2012, there were 54 TCAT buses operating and 48 of them were using diesel as their fuel. The annual diesel usage of TCAT was 410,000 gallons. If we produce an adequate amount of biodiesel and sell it to TCAT, not only does IAWWTF generate more revenue, but the project is good for the environment. TCAT buses will emit much less pollutant by using biodiesel than by using the same amount of petroleum diesel.

The annual grease coming into IAWWTF is 294,350 gallons worth. On average, there are approximately 800 gallons coming in per day, so we use 10 80-gal biodiesel processors with 40 kWh per batch to produce biodiesel. Assume 100% of the grease can be used to produce biodiesel, and the conversion factor is 0.75 (1 gallon of grease can produce 0.75 gallon of biodiesel), the annual biodiesel production is 220,763 gallons. With the price of \$3.50 per gallon, the annual revenue generated from biodiesel is \$772,668.75. The total equipment cost of biodiesel production system is \$175,950. The annualized equipment cost is \$16,608.44 with 7% discount rate during a 20 years period. The system also requires methanol and potassium as the additives. So the annual processing cost is \$463,601.25. We do not include the electricity in the model because the electricity needed is relatively small (no more than 13 MWh per month). The electricity produced

⁴⁷ This figure is obtained from the Ecovillage at Ithaca 54 kW array.

from the CHP system can cover the electricity usage of biodiesel production system. With \$772,668.75 as revenue and \$480,209.69 as cost, the net revenue per year for producing biodiesel is \$292,459.06.

Another option for producing biodiesel is to first make bio-methane in the biodigester, and then instead of combusting this methane in the CHP, react it to make biodiesel. This approach was avoided for two reasons. First, the data available to the extent that we could find it in the literature give only a total cost per gallon, and it is not transparent how the cost is derived, so that it is difficult to work with such a figure whose components are not known. Second, the figure is \$3.11/gallon for production cost, which is so close to the sale cost of \$3.50/gallon. The price of biodiesel is decreasing in recent years, so the production cost of converting biodiesel from biogas is not attractive.

We also compare the production of electricity versus the production of biodiesel with the same amount of grease. The electricity production is 1,196,975 kWh per year. With the unit price of \$0.105 per kWh and buying the capacity from the grid, the net revenue is \$71,682. If we do not consider buying the capacity, the net revenue is \$125,682, which is still lower than the net revenue of the biodiesel.

As grease contains more energy than the other food waste, we lower the biomass maximum capacity to 710 kW and add 13 MWh more per month in the monthly demand constraint in our model. The revenue from selling electricity to the grid is \$719,876.96, and the revenue from biodiesel is \$292,459.06. With the total cost of \$875,555 per year, the net revenue of the system is \$616,991 per year, which is the most profitable of the three scenarios.

Scenario 4

In the fourth scenario, we use the revenue as the funds for the hydropower investment and power the plant and residences. There are three types of hydro turbines available for IAWWTF: vertical turbine, horizontal turbine, and VLH turbine. Head range required of the turbines varies from 4.2 feet to 10.5 feet and flow changes from 0.16 million gallons/day to 0.48 million gallons/day.

Vertical turbine, just as the name implies, lets the effluent flow from the top of the turbine to the bottom of the turbine. The horizontal turbine lets the effluent go through it without any head changes. Both of the turbines require high construction cost, and they require diversion systems to protect them when large volume of the effluent comes such as storm and flood. The third type of turbine, VLH turbine generating set is double regulated with both adjustable blades and variable speed. This allows operation on sites where the head drops with variations in flow. The VLH turbine is able to work under 1/3

of the normal head while maintaining normal efficiency, and does not require diversion system. It can be lifted when flood comes. However, the equipment cost of VLH turbine is huge. For example, model DN 3150 (10.3 feet diameter), operating at 5.9 of head, and a flow of 0.14 million gallons per day (MGD) can produce 118 kW, but the equipment cost is approximately \$575,000. All of the three types of turbines have too many complexities, and the costs are huge. Therefore, scenario 4 is infeasible for the plant now, but it is a good alternative to be considered in the future.

For future hydropower investment, Dan Ramer, from IAWWTF, did an initial analysis and suggested that a 7.5 kW turbine might possible for the plant. As the treated water leaves the plant continuously, assume 5% downtime for maintenance, the turbine can run 95% of the time at full power. With 8,760 hours per year, the turbine can produce approximately 62,000 kWh per year, which is about 1.5% of the annual demand. Even though the amount of electricity is small, the turbine is very cost effective and worth doing.

Scenario Comparisons

Table 6: Scenario Comparison

SCENARIOS	PROS	CONS
Scenario 1: Use only CHP to power the entire plant	<ul style="list-style-type: none"> • Self-reliant for electricity. • No need to buy electricity from the grid. 	<ul style="list-style-type: none"> • Difficult to sustain the surrounding development
Scenario 2: Use all additional food waste to produce biogas	<ul style="list-style-type: none"> • Uses CHP and Solar to power the facility and its surroundings to become self-reliant • Reduce impact on landfill 	<ul style="list-style-type: none"> • May need to buy from the grid/invest more money into technology if energy produced isn't sufficient
Scenario 3: Use additional food waste to produce biogas and biodiesel for TCAT	<ul style="list-style-type: none"> • IAWWTF could generate more revenue in the long run • Start creating a microgrid 	<ul style="list-style-type: none"> • High capital cost to invest in technologies to convert biogas to biodiesel
Scenario 4: Use all additional food waste to produce biogas and invest funds into Hydro-turbine	<ul style="list-style-type: none"> • Generate more energy for plant 	<ul style="list-style-type: none"> • High Capital Cost • Unrealistic supply of biomass is necessary

Final Recommendations

Based on our analysis, we recommend Scenario 3 to IAWWTF. Use the grease from the food waste to produce biodiesel and sell it to the TCAT, and use the rest of the biomass to produce the biogas as the fuel for CHP system accompany with solar photovoltaics to produce enough energy IAWWTF and to produce adequate energy for 300 homes in the proposed Inlet Energy District.

The advantage of Scenario 3 is that it generates the most profit, which is \$616,991 per year, and it is self-sustaining. It not only does not need to buy electricity from the grid, but it can also sell the excess energy back to the grid. It is also very important that it reduces much pollution from the bus emissions if TCAT replaces diesel to biodiesel, and TCAT does not need to pay extra money to change the current bus diesel engine. However, there are also some disadvantages: it requires more supply of food waste and the operating cost of biodiesel processors is high. Based on the current food supply, we can supply half amount of biodiesel needed from the TCAT, but the system needs much more food waste to reach the 710 kW maximum capacity of biomass. Moreover, the price of additives for biodiesel production is high. If we produce more biodiesel to reach the TCAT demand, the operating cost of biodiesel can be twice as much as current cost, which is approximately \$927,000.

Future Projects

While this study had a wide ranging scope, in particular regard to CHP energy production and the incorporation of solar energy to power the IAWWTF and the proposed Inlet Energy District, there are future projects that will not only benefit the IAWWTF but also the surrounding area. As future projects, these can contribute valuable insights to the further expansion of alternate energy and the positive environmental impact that the IAWWTF can have on Ithaca and its surrounding communities.

The first future project is to explore Scenario 4, which, as previously outlined, involves the installation of a hydro-turbine. This proposed addition to the IAWWTF would increase electrical energy production with no additional waste streams needed. If feasible, this is an extremely clean form of energy production and provided proper safety features for overflow, very safe and reliable as well. Key parameters that would impact the feasibility of a hydro-turbine is head height of the water leaving the treatment facility, flow rate needed to produce significant energy, and the time required to divert the outflow and install the turbine. As an initial exploration into this technology the following table summarizes different turbine types, required flow rate and head height, potential electricity generation and estimated cost. With a wide range of costs and energy

production, this future project would require an investigation into which turbine best fits the IAWWTF outflow pipe and how the project can be completed with as disruption to the plant as possible. Some information about possible turbine models and manufacturers is given in Table 2: Comparison between Different Low-Head Turbines.

According to Dan Ramer from IAWWTF, an initial analysis suggested that the rate of flow might support a 7.5 kW turbine. Assuming water leaves the plant continuously, it could reasonably be assumed that this turbine could run 95% of the time at full power (allowing 5% downtime for maintenance or other requirements). With operations for 95% of the year, or 8,322 hours, the hydro-turbine can produce roughly 62,000kWh/yr. (this value is obtained by multiplying 7.5kW by 8,322h/yr. This is about 1.5% of annual demand. Thus the contribution is small compared to the CHP systems or large solar PV arrays, but it may be very cost-effective and therefore worth doing. If a more detailed analysis shows that the flow can support a larger turbine capacity, the annual savings from avoided electricity cost would be larger.

As a separate future project the IAWWTF could expand its influence to more communities. This would be in terms of energy production and wastewater processing as well as the gathering of food waste. With an increase in food waste from other communities, the IAWWTF would be able to further grow their CHP system and produce more electricity. Assuming the proposed Inlet Energy District is built, the IAWWTF would then have thousands of more residents to collect waste from and produce energy for. In an ideal situation this would assist in the creation of a micro-grid in Ithaca and lead to the production of cleaner and more affordable energy.

For this feasibility study, tipping fees, a revenue, and transportation fees, a cost, were assumed to be approximately equal, thus resulting in a zero net revenue for tipping fees. As more waste is brought to the plant, tipping fees will increase which, along with the energy production, will increase revenue for the IAWWTF. But this will also result in higher costs for transporting waste from the plant due to its increased inflow. There is an opportunity to sell the IAWWTF output, bio-cakes, to agricultural sites, which can decrease costs and increase revenues. A study looking at the potential for bio-cakes and ways to decrease landfill costs is essential so that further expansions to the IAWWTF can be accurately projected. Delivery of waste food by train instead of truck might provide a way to reduce transportation cost, since the plant is adjacent to a train line.

While it is known that CHP systems and solar energy are cleaner compared to fossil fuels, this study did not quantify the true environmental impact of using these technologies. By creating green energy, the IAWWTF is reducing its CO₂ load, but the increase in trucking to get the waste to the facility and to transport their end product to a

landfill, increases the CO₂ load. On the other hand, this waste is probably being moved by truck at present, with the likely destination of a landfill, so that this CO₂ burden would need to be considered as well. The net impact of the CHP system, digesters, potential production of biodiesel and other plant activities would also contribute the overall CO₂ produced by the plant. Comparing past emissions, estimated future emissions and determining a net CO₂ savings would provide an additional criterion to judge proposed plant expansions.

Another extension would be to evaluate the economic benefits of green electricity. The analysis considers only the avoided cost of buying electricity, and does not consider the benefit of producing green electricity from bio-waste. Bio-waste that is converted to an economically useful fuel such as biological natural gas or biodiesel is eligible for Renewable Identification Numbers, or RINs. When electricity is created with these feedstocks, the RINs are transferred to the electricity, which then becomes eligible for a premium price.

An additional research topic is the thermal content of the water leaving the plant as a heat source for district heating. The temperature of the water leaving the plant is 50-70F year round, so in the future this might be used to help heat buildings. One way to use the heat is to preheat water before it is heated to the appropriate temperature for hydronic heating of residential space. Another way to use it is with a heat pump system. Like a home heat pump that uses compression and expansion of the working fluid to transfer heat from under the earth at 50 degrees F into a heated space at 65-75 degrees F, compression and expansion would allow heat transfer from the effluent water to the heated space, even though the latter is at a higher temperature than the former.

Appendix A: Calculations

Current Status:

Objective **\$(252,260)** per year

Total Cost:

Source:	Solar	Biomass	Total
Capital	\$1,343	\$94,380	\$95,723
Op Cost	\$37	\$51,246	\$51,283
Combined	\$1,379	\$145,626	\$147,005

Source:	Ann.Cap	OpCost	Max Capacity
	\$/kW/yr	\$/MWh	kW
Solar	179	4	7.5
Biomass	363	25	260

Min Capacity of Solar	Biomass	Solar	Solar Capacities	Biomass Cap Constraint	Solar Cap Constraint
Capacity	260	7.5		kWh	kWh
Jan	174096	585	0.1048	174096	585
Feb	157248	697.5	0.1384	157248	697.5
Mar	174096	855	0.1532	174096	855
Apr	168480	892.5	0.1653	168480	892.5
May	174096	937.5	0.1680	174096	937.5
Jun	168480	907.5	0.1681	168480	907.5
Jul	174096	937.5	0.1680	174096	937.5
Aug	174096	945	0.1694	174096	945
Sep	168480	772.5	0.1431	168480	772.5
Oct	174096	750	0.1344	174096	750
Nov	168480	495	0.0917	168480	495
Dec	174096	420	0.0753	174096	420
Total	2049840	9195	Biomass Capacity	0.9	

Constraint

2:

2. Demand must be met in each month

	Plant		Total Demand	Combined	
	MWh	kWh	kWh	kWh made	
Jan	334.2	334200	334200.00	174681.00	52%
Feb	334.2	334200	334200.00	157945.50	47%
Mar	334.2	334200	334200.00	174951.00	52%

Apr	334.2	334200	334200.00	169372.50	51%
May	334.2	334200	334200.00	175033.50	52%
Jun	334.2	334200	334200.00	169387.50	51%
Jul	334.2	334200	334200.00	175033.50	52%
Aug	334.2	334200	334200.00	175041.00	52%
Sep	334.2	334200	334200.00	169252.50	51%
Oct	334.2	334200	334200.00	174846.00	52%
Nov	334.2	334200	334200.00	168975.00	51%
Dec	334.2	334200	334200.00	174516.00	52%
		4010400	4010400.00		

Revenue From Selling Energy

Total Produced (kWh)	Net kWh (minus plant)	Excess Produced kWh	Total Revenue
174096.00	-160104.00	-159519.00	\$(10,273)
157248.00	-176952.00	-176254.50	\$(11,351)
174096.00	-160104.00	-159249.00	\$(10,256)
168480.00	-165720.00	-164827.50	\$(10,615)
174096.00	-160104.00	-159166.50	\$(10,250)
168480.00	-165720.00	-164812.50	\$(10,614)
174096.00	-160104.00	-159166.50	\$(10,250)
174096.00	-160104.00	-159159.00	\$(10,250)
168480.00	-165720.00	-164947.50	\$(10,623)
174096.00	-160104.00	-159354.00	\$(10,262)
168480.00	-165720.00	-165225.00	\$(10,640)
174096.00	-160104.00	-159684.00	\$(10,284)
2049840.00	-1960560.00		\$(125,668)

Revenue from Tipping Fees

Quantity Tipped (gallons)	Total Revenue (\$)	Avoided Landfill Fee (\$)	Net Revenue (\$)
701349	19077.07	\$(21,297.00)	\$(2,219.93)
739146	20700.86	\$(19,236.00)	\$1,464.86
851704	24775.3	\$(21,297.00)	\$3,478.30
883621	30080.13	\$(20,610.00)	\$9,470.13
810208	33301.02	\$(21,297.00)	\$12,004.02
567424	24872.5	\$(20,610.00)	\$4,262.50
483618	21666.1	\$(21,297.00)	\$369.10
514906	23613.3	\$(21,297.00)	\$2,316.30
441701	20757.05	\$(20,610.00)	\$147.05
485576	22150.8	\$(21,297.00)	\$853.80
394805	18072.25	\$(20,610.00)	\$(2,537.75)

291556	12101.8	\$(21,297.00)	\$(9,195.20)
Net revenue from tipping fees			\$20,413.18

Scenario 1:

Objective **\$214,626** per year

Total Cost:

Source:	Solar	Biomass	Existing infra	Total
Capital	\$0	\$200,586	\$94,380	\$106,206
Op Cost	\$0	\$100,260	\$51,246	\$100,260
Combined	\$0	\$300,846	\$145,626	\$206,466

Source:	Ann.Cap	OpCost	Max Capacity
	\$/kW/yr	\$/MWh	kW
Solar	120	4	0
Biomass	363	25	565

	Biomass	Solar	Solar Capacities	Biomass Cap Constraint	Solar Cap Constraint
Capacity	552.5793651	0		kWh	kWh
Jan	334200	0	0.1048	370007.14	0
Feb	334200	0	0.1384	334200.00	0
Mar	334200	0	0.1532	370007.14	0
Apr	334200.1384	0	0.1653	358071.43	0
May	334200	0	0.1680	370007.14	0
Jun	334200.1384	0	0.1681	358071.43	0
Jul	334200	0	0.1680	370007.14	0
Aug	334200	0	0.1694	370007.14	0
Sep	334200.1384	0	0.1431	358071.43	0
Oct	334200	0	0.1344	370007.14	0
Nov	334200.1384	0	0.0917	358071.43	0
Dec	334200	0	0.0753	370007.14	0

Constraint 2:

2. Demand must be met in each month

	Plant	Total Demand	Combined
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	MWh	kWh	kWh	kWh made
Jan	334.2	334200	334200.00	334200.00
Feb	334.2	334200	334200.00	334200.00
Mar	334.2	334200	334200.00	334200.00
Apr	334.2	334200	334200.00	334200.14
May	334.2	334200	334200.00	334200.00
Jun	334.2	334200	334200.00	334200.14
Jul	334.2	334200	334200.00	334200.00
Aug	334.2	334200	334200.00	334200.00
Sep	334.2	334200	334200.00	334200.14
Oct	334.2	334200	334200.00	334200.00
Nov	334.2	334200	334200.00	334200.14
Dec	334.2	334200	334200.00	334200.00
		4010400	#DIV/0!	4010400.00

Revenue From Selling Energy

Total Produced (kWh)	Net kWh (minus plant)	Excess kWh Produced	Total Revenue
334200.00	0.00	0.00	\$35,091
334200.00	0.00	0.00	\$35,091
334200.00	0.00	0.00	\$35,091
334200.14	0.14	0.14	\$35,091
334200.00	0.00	0.00	\$35,091
334200.14	0.14	0.14	\$35,091
334200.00	0.00	0.00	\$35,091
334200.00	0.00	0.00	\$35,091
334200.14	0.14	0.14	\$35,091
334200.00	0.00	0.00	\$35,091
334200.14	0.14	0.14	\$35,091
334200.00	0.00	0.00	\$35,091
4010400.55	0.55	-4010400.00	\$421,092

Scenario 2A:

Objective **\$341,297** per year

Total Cost:

Source:	Solar	Biomass	Existing infra	Total
Capital	\$0	\$290,400	\$94,380	\$196,020
Op Cost	\$0	\$157,680	\$51,246	\$157,680
Combined	\$0	\$448,080	\$145,626	\$353,700

Source:	Ann.Cap	OpCost	Max Capacity
	\$/kW/yr	\$/MWh	kW
Solar	120	4	3000
Biomass	363	25	800

	Biomass	Solar	Solar Capacities	Biomass Cap Constraint	Solar Cap Constraint
Capacity	800	0		kWh	kWh
Jan	535680	0	0.10483871	535680	0
Feb	483840	0	0.138392857	483840	0
Mar	535680	0	0.153225806	535680	0
Apr	518400	0	0.165277778	518400	0
May	535680	0	0.168010753	535680	0
Jun	518400	0	0.168055556	518400	0
Jul	535680	0	0.168010753	535680	0
Aug	535680	0	0.169354839	535680	0
Sep	518400	0	0.143055556	518400	0
Oct	535680	0	0.134408602	535680	0
Nov	518400	0	0.091666667	518400	0
Dec	535680	0	0.075268817	535680	0
Total	6307200	0			

Constraint 2:

2. Demand must be met in each month

	Residential + Plant		Total Demand	Combined
	MWh	kWh	kWh	kWh made
Jan	484.49	484490	484490.00	535680.00
Feb	466.69	466690	466690.00	483840.00
Mar	448.49	448490	448490.00	535680.00
Apr	443.69	443690	443690.00	518400.00
May	454.79	454790	454790.00	535680.00
Jun	449.79	449790	449790.00	518400.00
Jul	461.09	461090	461090.00	535680.00
Aug	461.09	461090	461090.00	535680.00
Sep	454.49	454490	454490.00	518400.00
Oct	459.59	459590	459590.00	535680.00
Nov	461.79	461790	461790.00	518400.00
Dec	467.09	467090	467090.00	535680.00
Total		5513080	5513080.00	

Revenue From Selling Energy

Total Produced	Net kWh	Excess Produced	kWh	Total Revenue
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(kWh)	(minus plant)		
535680.00	201480.00	51190.00	\$60,227
483840.00	149640.00	17150.00	\$55,012
535680.00	201480.00	87190.00	\$58,067
518400.00	184200.00	74710.00	\$56,396
535680.00	201480.00	80890.00	\$58,445
518400.00	184200.00	68610.00	\$56,762
535680.00	201480.00	74590.00	\$58,823
535680.00	201480.00	74590.00	\$58,823
518400.00	184200.00	63910.00	\$57,044
535680.00	201480.00	76090.00	\$58,733
518400.00	184200.00	56610.00	\$57,482
535680.00	201480.00	68590.00	\$59,183
6307200.00	2296800.00		\$694,997

Scenario 2B:

Objective **\$321,528** per year

Total Cost:

Source:	Solar	Biomass	Existing infra	Total
Capital	\$88,440	\$290,400	\$94,380	\$284,460
Op Cost	\$3,614	\$157,680	\$51,246	\$161,294
Combined	\$92,054	\$448,080	\$145,626	\$445,754

Source:	Ann.Cap	OpCost	Max Capacity
	\$/kW/yr	\$/MWh	kW
Solar	120	4	3000
Biomass	363	25	800

Min cap for solar (kW) 737

	Biomass	Solar	Solar Capacities	Biomass Cap Constraint	Solar Cap Constraint
Capacit y	800	737		kWh	kWh
Jan	535680	57486	0.10483871	535680	57486
Feb	483840	68541	0.138392857	483840	68541
Mar	535680	84018	0.153225806	535680	84018
Apr	518400	87703	0.165277778	518400	87703
May	535680	92125	0.168010753	535680	92125
Jun	518400	89177	0.168055556	518400	89177
Jul	535680	92125	0.168010753	535680	92125

Aug	535680	92862	0.169354839	535680	92862
Sep	518400	75911	0.143055556	518400	75911
Oct	535680	73700	0.134408602	535680	73700
Nov	518400	48642	0.091666667	518400	48642
Dec	535680	41272	0.075268817	535680	41272
Total	6307200	903562			

Constraint 2:

2. Demand must be met in each month

	Residential + Plant		Total Demand	Combined
	MWh	kWh	kWh	kWh made
Jan	484.49	484490	484490.00	593166.00
Feb	466.69	466690	466690.00	552381.00
Mar	448.49	448490	448490.00	619698.00
Apr	443.69	443690	443690.00	606103.00
May	454.79	454790	454790.00	627805.00
Jun	449.79	449790	449790.00	607577.00
Jul	461.09	461090	461090.00	627805.00
Aug	461.09	461090	461090.00	628542.00
Sep	454.49	454490	454490.00	594311.00
Oct	459.59	459590	459590.00	609380.00
Nov	461.79	461790	461790.00	567042.00
Dec	467.09	467090	467090.00	576952.00
Total		5513080	5513080.00	

Revenue From Selling Energy

Total Produced	Net kWh	Excess	kWh	Total Revenue
(kWh)	(minus plant)	Produced		
593166.00	258966.00	108676.00		\$64,826
552381.00	218181.00	85691.00		\$60,495
619698.00	285498.00	171208.00		\$64,788
606103.00	271903.00	162413.00		\$63,413
627805.00	293605.00	173015.00		\$65,815
607577.00	273377.00	157787.00		\$63,897
627805.00	293605.00	166715.00		\$66,193
628542.00	294342.00	167452.00		\$66,252
594311.00	260111.00	139821.00		\$63,117
609380.00	275180.00	149790.00		\$64,629
567042.00	232842.00	105252.00		\$61,374
576952.00	242752.00	109862.00		\$62,485
7210762.00	3200362.00			\$767,282

Scenario 3:

Objective \$616,991 per year

Total Cost:

Source:	Solar	Biomass	Existing infra	Biodiesel	Total
Capital	\$88,440	\$257,730	\$94,380	\$16,608	\$268,398
Op Cost	\$3,614	\$139,941	\$51,246	\$463,601	\$607,156
Combined	\$92,054	\$397,671	\$145,626	\$480,210	\$875,555

Source:	Ann.Cap	OpCost	Max Capacity
	\$/kW/yr	\$/MWh	kW
Solar	120	4	3000
Biomass	363	25	710

Min cap for solar (kW) 737

	Biomass	Solar	Solar Capacities	Biomass Cap Constraint	Solar Cap Constraint
Capacity	710	737		kWh	kWh
Jan	475416	57486	0.10483871	475416	57486
Feb	429408	68541	0.138392857	429408	68541
Mar	475416	84018	0.153225806	475416	84018
Apr	460080	87703	0.165277778	460080	87703
May	475416	92125	0.168010753	475416	92125
Jun	460080	89177	0.168055556	460080	89177
Jul	475416	92125	0.168010753	475416	92125
Aug	475416	92862	0.169354839	475416	92862
Sep	460080	75911	0.143055556	460080	75911
Oct	475416	73700	0.134408602	475416	73700
Nov	460080	48642	0.091666667	460080	48642
Dec	475416	41272	0.075268817	475416	41272
Total	5597640	903562			

Constraint

2:

2. Demand must be met in each month

	Residential + Plant+ Biodiesel		Total Demand	Combined
	MWh	kWh	kWh	kWh made
Jan	497.49	497490	497490.00	532902.00
Feb	479.69	479690	479690.00	497949.00

Mar	461.49	461490	461490.00	559434.00
Apr	456.69	456690	456690.00	547783.00
May	467.79	467790	467790.00	567541.00
Jun	462.79	462790	462790.00	549257.00
Jul	474.09	474090	474090.00	567541.00
Aug	474.09	474090	474090.00	568278.00
Sep	467.49	467490	467490.00	535991.00
Oct	472.59	472590	472590.00	549116.00
Nov	474.79	474790	474790.00	508722.00
Dec	480.09	480090	480090.00	516688.00

**Revenue From Selling
Energy**

Total Produced	Net kWh	Excess kWh Produced	Total Revenue
(kWh)	(minus plant)		
532902.00	198702.00	35412.00	\$60,784.56
497949.00	163749.00	18259.00	\$56,920.32
559434.00	225234.00	97944.00	\$60,747.12
547783.00	213583.00	91093.00	\$59,527.04
567541.00	233341.00	99751.00	\$61,773.68
549257.00	215057.00	86467.00	\$60,010.96
567541.00	233341.00	93451.00	\$62,151.68
568278.00	234078.00	94188.00	\$62,210.64
535991.00	201791.00	68501.00	\$59,231.68
549116.00	214916.00	76526.00	\$60,587.68
508722.00	174522.00	33932.00	\$57,488.16
516688.00	182488.00	36598.00	\$58,443.44
6501202.00	2490802.00	832122.00	\$719,876.96

Revenue from biodiesel			
	Grease	Biodiesel	Revenue
Jan	17590	13192.5	\$46,173.75
Feb	16370	12277.5	\$42,971.25
Mar	42594	31945.5	\$111,809.25
Apr	22000	16500	\$57,750.00
May	26830	20122.5	\$70,428.75
Jun	16440	12330	\$43,155.00
Jul	23875	17906.25	\$62,671.88
Aug	20882	15661.5	\$54,815.25
Sep	17527	13145.25	\$46,008.38
Oct	24832	18624	\$65,184.00

Nov	37600	28200	\$98,700.00
Dec	27810	20857.5	\$73,001.25
Total	294350	220763	\$772,668.75
Average/day	806.44	604.83	\$2,116.90

Biodiesel Costs				
Equipment		Processing		
			\$/gal	\$ /year
80 gallon processor	\$40,000.00	Methanol	\$0.36	\$278,160.75
Oil press unit	\$80,000.00	Potassium	\$0.24	\$185,440.50
Installation	\$21,000.00	Total		\$463,601.25
Methanol recovery system	\$34,950.00			
Total	\$175,950.00			
Annualized capital cost	\$16,608.44			
Total Cost/yr	\$480,209.69			

Net revenue comparison of electricity and biodiesel produced by the same amount of grease

	Electricity	Biodiesel
Production	1,196,975	220,763
Unit Price	\$0.105	\$3.50
Total Revenue	\$125,682	\$772,669
Total Cost	\$54,000	\$480,210
Net Revenue	\$71,682	\$292,459

How much biogas required to produce energy

Capacity	800	710	553	kW
Cap factor	0.92	0.92	0.92	(based on current conditions at IAWWTF)
Energy/yr	6447360	5722032	4456737.6	kWh/yr
	6447.36	5722.032	4456.7376	MWh/yr
	17.664	15.6768	12.21024	MWh per day
Conversion	3412	3412	3412	btu/kWh
	60.27	53.49	41.66	Mmbtu/day
Current	5.76	5.76	5.76	MWh per day
Proposed	17.664	15.6768	12.21024	MWh per day
Ratio:	3.07	2.72	2.12	times increase in biogas requirement

Current	135000	135000	135000	ft3 biogas per day
Proposed	286000	286000	2.86E+05	ft3 biogas per day

Solar Capacity

Ground Coverage	170000	sqft
Number of floors	3	
Buildup Area	510000	sqft
Average Unit Area	1700	sqft
Total # Units	300	
Solar Capacity	736100	watts

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